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THE INVARIANT FIELDS OF THE SYLOW GROUPS OF CLASSICAL GROUPS IN THE NATURAL CHARACTERISTIC

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Let X be any finite classical group defined over a finite field of characteristic $p > 0$. In this article, we determine the fields of rational invariants for the Sylow p -subgroups of X , acting on the natural module. In particular, we prove that these fields are generated by orbit products of variables and certain invariant polynomials which are images under Steenrod operations, applied to the respective invariant linear forms defining X .

Key Words: Finite classical groups; Invariant fields; Modular invariant theory; Sylow groups.

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1. INTRODUCTION

Let \mathbb{F} be a field, V a finite dimensional \mathbb{F} -vector space and G a finite subgroup of $\mathrm{GL}(V)$. Then G acts naturally on the dual space V^* of V and therefore on the symmetric algebra $S := \mathbb{F}[V] := \mathrm{Sym}(V^*)$, by graded algebra automorphisms. One of the main problems of invariant theory is the investigation of the structure of the ring of invariants

$$R := \mathbb{F}[V]^G := \{f \in \mathbb{F}[V] \mid g \cdot f = f \ \forall g \in G\}.$$

Since G is finite it is easy to see that S is a finitely generated R -module, which implies, by a classical result of Emmy Noether, that R is a finitely generated \mathbb{F} -algebra. Let $\mathbb{L} := \mathrm{Quot}(S)$ be the quotient field of S and $\mathbb{K} := \mathrm{Quot}(R)$ the quotient field of R . The finiteness of G implies that $\mathbb{K} = \mathbb{L}^G$, and therefore, by Artin's main theorem in Galois Theory, that the field extension $\mathbb{L} \geq \mathbb{K}$ is Galois with group G . Moreover, it is well known that R is a normal domain, i.e., R is integrally closed in \mathbb{K} .

There are several constructive procedures that, if applied to ring elements $f \in S$, transform them into invariants in R : two examples are the *transfer*- or *trace*

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map $f \mapsto \text{tr}(f) = \sum_{g \in G} g \cdot f$ and the norm $f \mapsto \text{Norm}(f) := \prod_{g \in G} g \cdot f$. If $|G|$ is a unit in \mathbb{F} , then $\text{tr}(S) = R$; otherwise, $\text{tr}(S)$ is a proper ideal in R . In general, as a result of such operations, one obtains the subalgebra $A \leq R$ generated by those invariants, but the major open question remains, when to stop, i.e., when a full finite set of *generating invariants* of R as a \mathbb{F} -algebra has been achieved. In certain cases, one can use information on the invariant field \mathbb{K} : For example, the following statements are equivalent (see [9]):

- (i) $\text{Quot}(A) = \mathbb{K}$ and R is integral over A ;
- (ii) R is the integral closure of A in \mathbb{L} ;
- (iii) There exists $0 \neq a \in A$ with $R = S \cap \frac{1}{a}A$.

The intersection in (iii) can in principle be calculated by Groebner basis methods ([9]) and there are also generic algorithms available to calculate integral closures appearing in (ii) (see [10]). It is, however, still a difficult task to determine R in general, from information on A . Nevertheless, in the pursuit of constructing R it is an important first step to find an explicit description of the invariant field \mathbb{K} . In this article, this is done for all the p -Sylow groups of finite classical groups and p the characteristic of the field of definition.

Before stating the main result in compact form, we need a few remarks on the groups considered, some known results, and some ideas that motivated this work.

Let $X = \text{GL}_n(q)$ with $q = p^s$ acting on $V = \mathbb{F}_q^n$ and U the Sylow p -subgroup of X formed by the upper unitriangular matrices. Dickson in 1911 proved that the invariant ring $\mathbb{F}_q[V]^X$ is the polynomial ring $\mathbb{F}_q[c_0, \dots, c_{n-1}]$ on generators c_i of degree $q^n - q^i$ (see [8]). Let $U(n, q)$ be the group of lower triangular matrices with ones along the diagonal and x_1, \dots, x_n a basis for the dual vector space V^* . Then x_1 is invariant and the orbit of each x_i , with $i > 1$, consists of all elements $x_i + w$, where w belongs to the subspace V_{i-1} spanned by x_1, \dots, x_{i-1} . The orbit product of each x_i is $N(x_i) = \prod_{w \in V_{i-1}} (x_i + w) = F_{i-1,q}(x_i)$, where $F_{i-1,q}(X)$ is the polynomial (6) in Section 4. It can be easily proven that the polynomials $N(x_i)$ are homogeneous of degree q^{i-1} and the product of their degrees is equal to the order of $U(n, q)$. Applying Theorem 6.5, we conclude that $\mathbb{F}_q[V]^{U(n,q)} = \mathbb{F}_q[N(x_1), N(x_2), \dots, N(x_n)]$, which is a polynomial ring.

There is a particularly useful structure, present in invariant theory over the finite field \mathbb{F}_q : Let $\mathfrak{F} := \mathbb{F}_q[V]$. Then the q -Steenrod algebra $\mathcal{A} := \mathcal{A}_q$ is the graded \mathbb{F}_q -subalgebra $\mathcal{A} = \mathbb{F}\langle \mathcal{P}^i \mid i \in \mathbb{N}_0 \rangle \leq \text{End}_{\mathbb{F}_q}(\mathfrak{F})$, generated by the homogeneous Steenrod operators \mathcal{P}^i of degree $i(q - 1)$, which themselves are uniquely determined as elements of $\text{End}_{\mathbb{F}_q}(\mathfrak{F})$, by the following rules:

- (1) $\mathcal{P}^0 = \text{id}_{\mathfrak{F}}$;
- (2) The Cartan identity $\mathcal{P}^i(fg) = \sum_{\substack{0 \leq r,s \\ r+s=i}} \mathcal{P}^r(f)\mathcal{P}^s(g)$;
- (3) $\mathcal{P}^1(x_j) = x_j^q$ and $\mathcal{P}^k(x_j) = 0, \forall k > 1, j \geq 1$.

The elements \mathcal{P}^i are also uniquely determined by the requirement that

$$\mathcal{P}(\zeta) : \mathfrak{F} \rightarrow \mathfrak{F}[[\zeta]], \quad f \mapsto \sum_{i \geq 0} \mathcal{P}^i(f)\zeta^i$$

is the unique homomorphism of \mathbb{F} -algebras which maps v to $v + v^q \zeta$ for each $v \in \langle x_1, x_2, \dots, x_n \rangle_{\mathbb{F}}$. From this it is easy to see that the \mathcal{A} acts on $\mathbb{F}_q[V]$, commuting with the natural action of $\text{GL}(V)$. Therefore, if $G \leq \text{GL}(V)$, then \mathcal{A} also acts on $\mathbb{F}_q[V]^G$.

Now let X be any of the following finite classical groups:

- The general unitary groups $GU(2m, q^2)$ and $GU(2m + 1, q^2)$ of dimension $2m$ and $2m + 1$, defined over the field \mathbb{F}_{q^2} ;
- The symplectic group $Sp(2m, q)$ of dimension $2m$ over \mathbb{F}_q ;
- The general orthogonal groups $O^+(2m, q)$, $O^-(2m + 2, q)$ and $O(2m + 1, q)$ defined over \mathbb{F}_q .

For more details, we refer to Section 4, but typically X is defined as a subgroup of $\text{GL}(V)$, fixing a certain form $h \in V^*$ or, in the case of unitary groups, a homogeneous element $h \in \mathbb{F}_{q^2}[V]$. In other words, $X = \text{Stab}_{\text{GL}(V)}(h)$, hence for any subgroup $G \leq X$, automatically h is a G -invariant and so are the ‘‘Steenrod images’’ $\mathcal{P}^i(h)$. The explicit description of the ring of invariants of the groups $Sp(2m, q)$ (see [4] and [1]) and $GU(n, q^2)$ (see [6]) supports the conjecture that invariant rings of classical groups are always generated by ‘‘Dickson invariants’’ together with certain Steenrod images $\mathcal{P}^i(h)$ of the relevant form. Replacing Dickson invariants by ‘‘orbit products of variables’’ a similar conjecture can be made about the invariant rings of Sylow p -groups of X . We will give some evidence to this by proving the corresponding result for the invariant fields.

It is well known that invariant fields of finite p -groups in characteristic p are purely transcendental (see [13]). The main result of this article will describe transcendence bases consisting of certain explicit orbit products $N(x_i)$, called ‘‘norms’’ and of invariants h_i , which are images of h under certain Steenrod operators. Now let $G \leq X$ be a Sylow p -group for $p = \text{char}(\mathbb{F})$. If $X = O^+(2m, 2^e)$ or $X = O^-(2m + 2, 2^e)$, we also define distinguished maximal subgroups $G_1 \trianglelefteq G$ (see Lemmas 4.17 and 4.21). Now set $\mathfrak{G} = G_1$ if $X = O^+(2m, 2^e)$ or $X = O^-(2m + 2, 2^e)$, and $\mathfrak{G} = G$ otherwise. Then our main result can be stated in short form as follows.

Theorem 1.1. *The invariant field $\mathbb{F}(V)^{\mathfrak{G}} = \text{Quot}(\mathbb{F}[V]^{\mathfrak{G}})$ is purely transcendental, generated by \mathfrak{G} -orbit products of variables and Steenrod images of the form h defining X .*

Corollary 1.2. *Let R be the subalgebra of $\mathbb{F}[V]^{\mathfrak{G}}$, generated by \mathfrak{G} -orbit products of variables and Steenrod images of the form h defining X . Then $\mathbb{F}[V]^{\mathfrak{G}}$ is equal to the integral closure of R in its fraction field.*

Proof. By Theorem 1.1, R and $\mathbb{F}[V]^{\mathfrak{G}}$ have the same Quotient field, say \mathbb{K} . It follows from [3] Theorem 4.0.3. p. 60 that R contains a homogeneous system of parameters of $\mathbb{F}[V]^{\mathfrak{G}}$, which is therefore integral over R . Let $f \in \mathbb{K}$ be integral over R . Then f is integral over $\mathbb{F}[V]^{\mathfrak{G}}$ and therefore contained in the normal ring $\mathbb{F}[V]^{\mathfrak{G}}$. □

Remark 1.3.

- (1) Explicit generators for all $\mathbb{F}(V)^{\mathfrak{G}}$ are described in Theorems 4.10, 4.12, 4.14, 4.16, 4.20.
- (2) The generators for $\mathbb{F}(V)^{\mathfrak{G}}$, when $X = O^+(2m, 2^e)$ or $X = O^-(2m + 2, 2^e)$, are described in Lemmas 4.17 and 4.21.
- (3) Let x_1, \dots, x_n be a basis of V^* . Then one can choose $R = \mathbb{F}[N(x_1), \dots, N(x_n), h_1, h_2, \dots, h_k]$, where $N(x_i)$ is the \mathfrak{G} -orbit product of x_i , $k = \frac{n}{2} - 1$ if n is even, $k = \frac{n-1}{2}$ if n is odd, and the h_i 's are Steenrod images of the form h , described in the theorems mentioned above.
- (4) The generators for $\mathbb{F}(V)^G$, when $X = O^+(2m, 2^e)$ or $X = O^-(2m + 2, 2^e)$, are described in Theorems 4.18 and 4.22.

The precise statements and their proofs need explicit descriptions of the Sylow p -groups and will therefore be formulated after those details have been established. The further organization of the article is as follows.

In Section 1, we will introduce notation and collect some information due to the special nature of classical groups over finite fields and of p -groups in characteristic p . In Section 2, we will give two brief examples in small rank, to illustrate the general strategy of our proof. In Section 3, we will develop an explicit description of the Sylow p -groups in terms of (almost always) lower-uni-triangular matrices. Although the Sylow p -groups of classical groups are known in principle, their structure is usually described in terms of “root subgroups,” defined in the context of the theory of finite groups of Lie type ([5]). Since our methods rely on explicit calculations, a description in terms of matrices is necessary, but not easily available in the literature. To avoid unnecessary repetitions later on, our emphasis was to achieve such a description in a form as unifying as possible. Therefore, the results stated in Section 3 can be useful for other purposes that require explicit matrix calculations in those groups. In Section 4, we state and prove the precise versions of Theorem 1.1 for each Sylow p -subgroup. In Sections 5 and 6, we present the technical proofs for the results of Sections 3 and 4, respectively.

In special cases of classical Sylow p -groups, we have been able to use the results presented here to determine the rings of invariants R for arbitrary q , using SAGBI-basis techniques. We will present those results elsewhere. The general problem of determining the rings of invariants for all Sylow p -groups of classical groups is still unsolved as yet.

2. INVARIANT FIELDS OF p -GROUPS AND TWO SMALL EXAMPLES

From now on, throughout the entire article, let \mathbb{F} be a field of characteristic $p > 0$, V a finite dimensional \mathbb{F} -vector space, and $G \leq \mathrm{GL}(V)$ a finite p -group. We have mentioned that the invariant field $\mathbb{F}(V)^G$ is always purely transcendental. Moreover, it turns out that one can construct a transcendence basis consisting of polynomials in $\mathbb{F}[V]^G$ algorithmically. This is due to Campbell and Chuai [2] and Kang [11]. We now present the algorithm as it is described in [2].

Since any p -subgroup of $\mathrm{GL}(V)$ is triangularizable, there exist a basis e_1, \dots, e_n for V such that each element of G is represented by a lower triangular matrix with ones along the diagonal. Therefore, if x_1, \dots, x_n is the dual basis with

respect to e_1, \dots, e_n , then $(\sigma - 1)x_m$ is in the subspace spanned by x_1, \dots, x_{m-1} for all $\sigma \in G$. From this, we can easily see that x_1 is invariant.

We define $R[j] := \mathbb{F}[x_1, \dots, x_j]$ for $0 \leq j \leq n$ subject to the convention that $R[0] := 0$. Then G acts on each ring $R[j]$. For each j we choose an invariant $\phi_j \in R[j]^G$ with the smallest positive degree in x_j among the elements of $R[j]^G$.

Theorem 2.1. *Let G be a p -group. Then the polynomials ϕ_1, \dots, ϕ_n defined above generate the invariant field for G , i.e.,*

$$\mathbb{F}(V)^G = \mathbb{F}(\phi_1, \dots, \phi_n).$$

Moreover, there exists $f \in \mathbb{F}[\phi_1, \dots, \phi_n]$ such that

$$\mathbb{F}[V]^G[f^{-1}] = \mathbb{F}[\phi_1, \dots, \phi_n][f^{-1}].$$

Proof. See Theorem 2.4 in [2]. □

We now present two small examples to exemplify the main ideas of the article and how to use Theorem 2.1. We shall consider a Sylow p -subgroup for $GU(8, q^2)$ and $O^+(8, 2^e)$. In the next section, we show how to construct the Sylow p -subgroups of the finite classical groups.

Let J_n be the matrix (4), and let G be a Sylow p -subgroup for $GU(8, q^2)$. Then we can represent its elements as

$$\left(\begin{array}{c|c|c} A & 0 & 0 \\ \hline B & F & 0 \\ \hline J_3(\bar{A}^{-1})^T \bar{S} & D & J_3(\bar{A}^{-1})^T J_3 \end{array} \right), \tag{1}$$

where we have as follows:

- $A \in U(3, q^2)$, B is any 2×3 matrix and $D = -J_3(\bar{A}^{-1})^T \bar{B}^T J_2 F$;
- S is a 3×3 matrix such that $S + \bar{S}^T = -B^T J_2 \bar{B}$.
- $F = \begin{pmatrix} 1 & 0 \\ & c \end{pmatrix}$ where c is an element in \mathbb{F}_{q^2} satisfying $c + \bar{c} = 0$.

We will always fix the graded reverse lexicographic order with $x_1 < x_2 < \dots < x_8$. By looking to the elements of G , we can see that it acts on $\mathbb{F}_{q^2}[x_1, \dots, x_5]$ as subgroup U of $U(5, q^2)$. We consider the orbit products $N(x_j)$ for $j \in \{1, \dots, 5\}$. These are homogeneous polynomials and their degree product is equal to the order of U . Hence

$$\mathbb{F}_{q^2}[x_1, \dots, x_5]^G = \mathbb{F}_{q^2}[x_1, N(x_2), \dots, N(x_5)],$$

which is a polynomial ring. Since in the grevlex order their leading monomials are algebraically independent, we can take $\phi_j = N(x_j)$ for $j \in \{1, \dots, 5\}$. Let H be the

abelian subgroup of G

$$\left(\begin{array}{c|c|c} I_3 & 0 & 0 \\ \hline 0 & I_2 & 0 \\ \hline J_3\bar{S} & 0 & I_3 \end{array} \right).$$

We use subgroups of H to determine a lower bound for the degree in $x_6, x_7,$ and x_8 of $\phi_6, \phi_7,$ and $\phi_8,$ respectively. Let $C = J_3\bar{S}$. Then

$$C = \begin{pmatrix} s_{1,3} & s_{2,3} & s_{3,3} \\ s_{1,2} & s_{2,2} & -\bar{s}_{2,3} \\ s_{1,1} & -\bar{s}_{1,2} & -\bar{s}_{1,3} \end{pmatrix}.$$

We define $C^{(1)} = C,$ and for $k = 2, 3,$ $C^{(k)}$ will be the matrix obtained from C by fixing all the entries of the first $k - 1$ rows equal to zero. For each $k,$ we denote by L_k the subgroup of H obtained by replacing the matrix C by $C^{(k)}$. Note that $L_1 = H.$ The groups L_k act on $R[5 + k]$ by fixing $x_1, x_2, x_3, x_4, x_5, \dots, x_{5+k-1}$ and $x_{5+k} \mapsto x_{5+k} + \sum_{j=1}^{4-k} c_{k,j}x_j.$ Therefore, L_k is acting like a subgroup of $U(5 + k, q^2)$ with order $q^{7-2k}.$ It is not hard to check that the orbit product of x_{5+k} under L_k has degree $q^{7-2k}.$ Hence

$$R[5 + k]^{L_k} = \mathbb{F}_{q^2}[x_1, x_2, x_3, x_4, x_5, x_{5+1}, \dots, x_{5+k-1}, N(x_{5+k})],$$

and since $R[5 + k]^H \subset R[5 + k]^{L_k},$ we conclude that the minimal degree in x_{5+k} of a polynomial in $R[5 + k]^H$ is greater or equal to $q^{7-2k}.$ Now we consider the following polynomials:

- $h_1 = \Lambda_{1,0} = x_8^q x_1 + x_8 x_1^q + x_7^q x_2 + x_7 x_2^q + x_6^q x_3 + x_6 x_3^q + x_5^q x_4 + x_5 x_4^q;$
- $h_2 = \Lambda_{2,0} = x_8^{q^3} x_1 + x_8 x_1^{q^3} + x_7^{q^3} x_2 + x_7 x_2^{q^3} + x_6^{q^3} x_3 + x_6 x_3^{q^3} + x_5^{q^3} x_4 + x_5 x_4^{q^3};$
- $h_3 = \Lambda_{3,0} = x_8^{q^5} x_1 + x_8 x_1^{q^5} + x_7^{q^5} x_2 + x_7 x_2^{q^5} + x_6^{q^5} x_3 + x_6 x_3^{q^5} + x_5^{q^5} x_4 + x_5 x_4^{q^5}.$

The polynomial h_1 comes from the hermitian form we used to define the unitary group, and thus it is invariant, the other two being Steenrod images of h_1 are invariant also. By considering the action of the \mathbb{F}_{q^2} -algebra endomorphisms ψ_l (see Section 4, Proposition 4.2-2, Lemmas 4.4, 4.9, and Proposition 4.5) on $h_1, h_2,$ and h_3 we get for $k \in \{1, 2, 3\}$

$$\psi_{3-k}(h_1) \in \mathbb{F}_{q^2}[x_1, x_2, \dots, x_{5+k}]^G$$

and its degree in x_{5+k} is equal to q^{7-2k} by Proposition 4.5. Therefore, we can take $\phi_6 = \psi_2(h_1), \phi_7 = \psi_1(h_1),$ and $\phi_8 = \psi_0(h_1) = h_1.$ Applying Lemma 4.4, we see that $\psi_l(h_1) \in \mathbb{F}_{q^2}[x_1, N(x_2), \dots, N(x_l), h_1, h_2, \dots, h_{l+1}],$ and we get the following theorem.

Theorem 2.2. *Let G be the Sylow p -subgroup of $GU(8, q^2).$ Then*

$$\mathbb{F}_{q^2}(V)^G = \mathbb{F}_{q^2}(x_1, N(x_2), \dots, N(x_5), h_1, h_2, h_3).$$

Now we consider the orthogonal group $O^+(8, q)$ with $q = 2^e$. Let G_1 be the subgroup of $U(8, q)$ that preserve the quadratic form. Then its elements can be represented as matrices of type (1) where the following statements hold:

- $A \in U(3, q)$, B is any 2×3 matrix and $D = -J_3(A^{-1})^T B^T J_2$;
- S is a 3×3 matrix such that $S + S^T = B^T J_2 B$ and $s_{ii} = b_{1i} b_{2i}$;
- F is the identity matrix.

The group G_1 is not a Sylow p -subgroup of $O^+(8, q)$. To obtain one, we pick the element

$$L := \left(\begin{array}{c|c|c} I_3 & 0 & 0 \\ \hline 0 & J_2 & 0 \\ \hline 0 & 0 & I_3 \end{array} \right)$$

in the orthogonal group, which has order 2 and normalizes G_1 . Then $G = \langle G_1, L \rangle$ is a Sylow p -subgroup of $O^+(8, q)$. Now, the following polynomials are invariant:

- $h_1 = \Omega_{0,1} = x_8 x_1 + x_7 x_2 + x_6 x_3 + x_5 x_4$;
- $h_2 = \Omega_{1,1} = x_8^q x_1 + x_8 x_1^q + x_7^q x_2 + x_7 x_2^q + x_6^q x_3 + x_6 x_3^q + x_5^q x_4 + x_5 x_4^q$;
- $h_3 = \Omega_{2,1} = x_8^q x_1 + x_8 x_1^q + x_7^q x_2 + x_7 x_2^q + x_6^q x_3 + x_6 x_3^q + x_5^q x_4 + x_5 x_4^q$.

Applying similar arguments as before, we can show that

$$\mathbb{F}_q(V)^{G_1} = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_5), h_1, h_2, h_3).$$

Using the Galois theory, we obtain that $\mathbb{F}_q(V)^G = (\mathbb{F}_q(V)^{G_1})^{\langle L \rangle}$, i.e., the fraction field of $R := \mathbb{F}_q[x_1, N(x_2), \dots, N(x_5), h_1, h_2, h_3]^{\langle L \rangle}$. It is not hard to check that $\langle L \rangle$ will fix the elements $x_1, N(x_2), N(x_3), h_1, h_2, h_3$ and swap $N(x_4)$ with $N(x_5)$. Hence,

$$R = \mathbb{F}_q[x_1, N(x_2), N(x_3), N(x_4) + N(x_5), N(x_4)N(x_5), h_1, h_2, h_3]$$

Theorem 2.3. *Let G be the Sylow p -subgroup of $O^+(8, q)$. Then*

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), N(x_3), N(x_4) + N(x_5), N(x_4)N(x_5), h_1, h_2, h_3).$$

3. SYLOW p -SUBGROUPS

Let \mathbb{F} be either the finite field \mathbb{F}_q or \mathbb{F}_{q^2} . Define the matrix $\bar{A} := [\bar{a}_{ij}]$ where $\bar{a}_{ij} = a_{ij}^q$.

Notation 3.1. *Let $U(n, \mathbb{F})$ the group of $n \times n$ lower triangular matrices with entries in \mathbb{F} and with ones along the diagonal. Also we shall write $M(n \times m, \mathbb{F})$ (or just $M(n, \mathbb{F})$, when $m = n$) for the set of all $n \times m$ matrices whose entries belong to \mathbb{F} . When we want to make clear which field we are working with, we write $U(n, r)$ and $M(n \times m, r)$ (or $M(n, r)$) instead, r being the number of elements in \mathbb{F} .*

Let ϵ denote one of the two symbols “+” or “-” and let $X_1 \in GL(n, \mathbb{F})$ such that $X_1^2 = I$. We let $X_2 \in M(l, \mathbb{F})$ satisfying $X_2 = \epsilon \bar{X}_2^T$. Consider the matrix

$$X := \left(\begin{array}{c|c|c} 0 & 0 & X_1 \\ \hline 0 & X_2 & 0 \\ \hline \epsilon \bar{X}_1^T & 0 & 0 \end{array} \right) \tag{2}$$

in $M(2n + l, \mathbb{F})$. We define the subgroups

$$\mathbb{G}_{X_1, X_2}^\epsilon := \{N \in U(2n + l, \mathbb{F}) \mid N^T X \bar{N} = X\}.$$

We write $N \in U(2n + l, \mathbb{F})$ as

$$\left(\begin{array}{c|c|c} A & 0 & 0 \\ \hline B & F & 0 \\ \hline C & D & E \end{array} \right)$$

where $A, E \in U(n, \mathbb{F})$, $F \in U(l, \mathbb{F})$, $C \in M(n, \mathbb{F})$, $B \in M(l \times n, \mathbb{F})$, and $D \in M(n \times l, \mathbb{F})$. Then $N^T X \bar{N} = X$ if

$$\begin{cases} D = -\bar{X}_1 (\bar{A}^{-1})^T \bar{B}^T \bar{X}_2 F \\ F^T X_2 \bar{F} = X_2 \\ E = \bar{X}_1 (\bar{A}^{-1})^T \bar{X}_1 \\ C = \bar{X}_1 (\bar{A}^{-1})^T \bar{S} \end{cases} \tag{3}$$

where $S + (\epsilon \bar{S}^T) = -B^T X_2 \bar{B}$. We shall denote the entries of S and B by s_{ij} and b_{ij} , respectively.

Lemma 3.2. *Let N be an element of $U(2n + l, \mathbb{F})$. Then $N \in \mathbb{G}_{X_1, X_2}^\epsilon$ if and only if the system (3) holds, with $S + (\epsilon \bar{S}^T) = -B^T X_2 \bar{B}$.*

We can now describe the Sylow p -groups of the classical groups. But first we define the matrix

$$J_n := \left(\begin{array}{cccc} 0 & \cdots & 0 & 1 \\ \vdots & \ddots & \ddots & 0 \\ 0 & 1 & \ddots & \vdots \\ 1 & 0 & \cdots & 0 \end{array} \right). \tag{4}$$

In Section 5 we will give proofs for the following lemmas.

Lemma 3.3. *The following statements hold:*

- (1) *The group $\mathfrak{S}_{J_{m-1}, J_2}^+$ is a Sylow p -subgroup for $GU(2m, q^2)$;*
- (2) *Let G consist of the elements of $\mathfrak{S}_{J_m, 1}^+$ with F the 1×1 identity matrix. Then G is a Sylow p -subgroup for $GU(2m + 1, q^2)$;*
- (3) *The group $\mathfrak{S}_{J_{m-1}, X_2}^-$ is a Sylow p -subgroup for $Sp(2m, q)$ with $X_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$.*

We consider separately the orthogonal groups in odd and in even characteristic.

Lemma 3.4. *Assume that q is odd.*

- (1) *The group $\mathfrak{S}_{J_{m, 2}}^+$ is a Sylow p -subgroup for $O(2m + 1, q)$.*
- (2) *The group $\mathfrak{S}_{J_{m-1}, J_2}^+$ is a Sylow p -subgroup for $O^+(2m, q)$.*
- (3) *The group $\mathfrak{S}_{J_m, X_2}^+$ is a Sylow p -subgroup for $O^-(2m + 2, q)$ with $X_2 = \begin{pmatrix} a & 1 \\ -1 & 2a \end{pmatrix}$. Here a is such that $X^2 + X + a$ is irreducible in $\mathbb{F}_q[X]$.*

Consider the matrices

$$L := \left(\begin{array}{c|c|c} I_{m-1} & 0 & 0 \\ \hline 0 & J_2 & 0 \\ \hline 0 & 0 & I_{m-1} \end{array} \right) \quad L_1 := \left(\begin{array}{c|c|c} I_m & 0 & 0 \\ \hline 0 & J'_2 & 0 \\ \hline 0 & 0 & I_m \end{array} \right) \tag{5}$$

where I denotes the identity matrix and $J'_2 := \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$.

Lemma 3.5. *Take q even.*

- (1) *Let G consist of the elements of $\mathfrak{S}_{J_m, 0}^+$ with F the 1×1 identity matrix and $s_{ii} = b_{1i}^2$ for $i = 1, \dots, m$ (in notation of Section 3). Then G is a Sylow p -subgroup for $O(2m + 1, q)$.*
- (2) *Let G_1 be the group formed by the elements of $\mathfrak{S}_{J_{m-1}, J_2}^+$ that satisfy $s_{ii} = b_{1i}b_{2i}$ for $i = 1, \dots, m - 1$. Then the group generated by G_1 and L is a Sylow p -subgroup for $O^+(2m, q)$.*
- (3) *Let G_1 be the group formed by the elements of $\mathfrak{S}_{J_m, J_2}^+$ that satisfy $s_{ii} = b_{1i}^2 + b_{1i}b_{2i} + b_{2i}^2$ for $i = 1, \dots, m$. Then the group generated by G_1 and L_1 is a Sylow p -subgroup for $O^-(2m + 2, q)$.*

4. INVARIANT FIELDS

Let \mathbb{F} be a field, $V = \mathbb{F}^n$, and let (x_1, x_2, \dots, x_n) be the dual basis of the ordered standard basis (e_1, \dots, e_n) of V . We will write elements of V as columns vectors of the form $v := (\alpha_1, \dots, \alpha_n)^T$.

If $\mathbb{F} = \mathbb{F}_q$, the generators of $\mathbb{F}_q[V]^{GL(V)}$ can be defined as the coefficients of the polynomial

$$F_{n,q}(X) := \prod_{u \in V^*} (X - u) = X^{q^n} + \sum_{i=0}^{n-1} (-1)^{n-i} c_i X^i \in \mathbb{K}[X], \tag{6}$$

where \mathbb{K} is a field containing $\mathbb{F}(V)$.

Lemma 4.1. *Let $U := \langle x_1, \dots, x_{n-1} \rangle_{\mathbb{F}_q}$. Then we have*

$$F_{n,q}(X) = F_{n-1,q}(X)^q - F_{n-1,q}(x_n)^{q-1}F_{n-1,q}(X),$$

where $F_{n-1,q}(X) = \prod_{u \in U} (X - u)$.

Proof. First, we note that the polynomial $F_{n,q}(X)$ is \mathbb{F}_q -linear. Hence

$$\begin{aligned} F_{n,q}(X) &= \prod_{f \in V^*} (X - f) = \prod_{a \in \mathbb{F}_q} \prod_{g \in U} (X - ax_n - g) \\ &= \prod_{a \in \mathbb{F}_q} F_{n-1,q}(X - ax_n) = \prod_{a \in \mathbb{F}_q} (F_{n-1,q}(X) - aF_{n-1,q}(x_n)) \\ &= F_{n-1,q}(X)^q - F_{n-1,q}(x_n)^{q-1}F_{n-1,q}(X). \end{aligned}$$

This finishes the proof. □

Obviously, we have $F_{0,q}(X) = X$. Let \mathbb{F} be either the finite field \mathbb{F}_q or \mathbb{F}_{q^2} , and denote by r the number of elements of \mathbb{F} . We define a sequence of endomorphisms ψ_l of \mathbb{F} -algebras from $A := \mathbb{F}[x_1, \dots, x_n]$ to itself by $\psi_l : A \rightarrow A, x_i \mapsto F_{l,r}(x_i)$. Note that ψ_0 is the identity map on A , $\psi_1(x_1) = 0$, and $\psi_1(x_2) = x_2^r - x_1^{r-1}x_2$ is the orbit product of x_2 under the action of $U(n, \mathbb{F})$.

Proposition 4.2. *For every endomorphism ψ_l , the following statements hold:*

- (1) $\psi_l(x_k) = 0$ for all $1 \leq k \leq l$;
- (2) $\psi_l(x_{l+1})$ is the orbit product of x_{l+1} under the action of $U(n, \mathbb{F})$, and hence an invariant for that group.
- (3) $\psi_l(f) = (\psi_{l-1}(f))^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(f)$ for every homogeneous polynomial f in degree 1, i.e., \mathbb{F} -linear combinations of the x_i 's;
- (4) For every $g \in U(n, \mathbb{F})$, we have $g \circ \psi_l = \psi_l \circ g$.

Proof. (1) We prove this by induction on l . For $l = 1$, we have seen that $\psi_1(x_1) = 0$. Now we assume that the statement is true for l and let $k \leq l + 1$. Then $\psi_{l+1}(x_k) = \psi_l(x_k)^r - \psi_l(x_{l+1})^{r-1}\psi_l(x_k)$, which is zero for $k \leq l$ by the induction hypothesis. For $k = l + 1$, we get $\psi_{l+1}(x_{l+1}) = 0$ immediately.

(2) By definition $\psi_l(x_{l+1}) = F_{l,r}(x_{l+1})$ and the statement from Lemma 4.1.

(3) Note that the endomorphisms ψ_l as well as multiplication by the fixed element $\psi_{l-1}(x_l)^{r-1}$ and $()^r$ are \mathbb{F} -linear operators. Since the formula is true for each x_i by definition, the result follows.

(4) Here it suffices to show that $(g \circ \psi_l)(x_i) = (\psi_l \circ g)(x_i)$ for all $i = 1, 2, \dots, n$. Again, we use induction on l . For $l = 0$, the result follows

immediately since ψ_0 is the identity map. We assume that the result holds for l . Then

$$\begin{aligned} (g \circ \psi_{l+1})(x_i) &= g(\psi_{l+1}(x_i)) = g(\psi_l(x_i)^r - \psi_l(x_{l+1})^{r-1}\psi_l(x_i)) \\ &= (g(\psi_l(x_i)))^r - (g(\psi_l(x_{l+1})))^{r-1}(g(\psi_l(x_i))) \\ &= \psi_l(g(x_i))^r - \psi_l(g(x_{l+1}))^{r-1}\psi_l(g(x_i)), \end{aligned}$$

where we have used the induction hypothesis. It follows from 2 that $\psi_l(x_{l+1})$ is invariant and therefore $\psi_l(g(x_{l+1})) = \psi_l(x_{l+1})$. Hence

$$(g \circ \psi_{l+1})(x_i) = \psi_l(g(x_i))^r - \psi_l(x_{l+1})^{r-1}\psi_l(g(x_i)) = (\psi_{l+1} \circ g)(x_i),$$

and this finishes the proof. □

We consider the following families of polynomials in $\mathbb{F}[x_1, \dots, x_n]$. We use two parameters: $j \in \{-1, 1\}$ and $\lambda \in \mathbb{F}$. Let $m = \frac{n}{2}$ or $m = \frac{n-1}{2}$ if n is even or odd, respectively. Now define as follows:

- $\Omega_{0,1} = \sum_{i=1}^m x_{n-i+1}x_i$ and $\Omega_{0,-1} = 0$;
- $\Omega_{s,j} = \sum_{i=1}^m (x_{n-i+1}^{r^s}x_i + jx_{n-i+1}x_i^{r^s})$ for $s \geq 1$;
- $\Gamma_{0,\lambda} = \Omega_{0,1} + x_{m+1}^2 + \lambda x_{m+2}^2$;
- $\Gamma_{s,\lambda} = \Omega_{s,1} + 2 \cdot 1_{\mathbb{F}}(x_{m+1}^{r^s+1} + \lambda x_{m+2}^{r^s+1})$ for $s \geq 1$;
- $\Lambda_{s,\lambda} = \sum_{i=1}^m (x_{n-i+1}^{q^{2s-1}}x_i + x_{n-i+1}x_i^{q^{2s-1}}) + \lambda x_{m+1}^{q^{2s-1}+1}$ for $s \geq 1$ and $\mathbb{F} = \mathbb{F}_{q^2}$.

We will apply the Steenrod operations to these polynomials (see the introduction for its definition). Here we take $\zeta = -1$, and we denote $\mathcal{P}(-1)$ by \mathcal{P}^\bullet . Hence $\mathcal{P}^\bullet : A \rightarrow A$ is the \mathbb{F} -algebra homomorphism given by $\mathcal{P}^\bullet(x_i) = x_i - x_i^r$. Also,

$$\mathcal{P}^\bullet(f) = \mathcal{P}^0(f) - \mathcal{P}^1(f) + \mathcal{P}^2(f) - \mathcal{P}^3(f) + \dots,$$

where $\mathcal{P}^i(f)$ is the i th Steenrod operation on f . The next lemmas will be proved in Section 5.

Lemma 4.3. *The Steenrod operations on the polynomials $\Omega_{s,j}$, $\Gamma_{s,\lambda}$ and $\Lambda_{s,\lambda}$ are given by the following equations:*

- (1) $\mathcal{P}^1(\Omega_{0,1}) = \Omega_{1,1}$, $\mathcal{P}^1(\Gamma_{0,\lambda}) = \Gamma_{1,\lambda}$ and $\mathcal{P}^1(\Lambda_{1,\lambda}) = \Lambda_{1,\lambda}^q$;
- (2) $\mathcal{P}^1(\Omega_{1,1}) = 2\Omega_{0,1}^r$, $\mathcal{P}^1(\Omega_{s,j}) = \Omega_{s-1,j}^r$ for $s \geq 2$,
 $\mathcal{P}^1(\Gamma_{s,\lambda}) = \Gamma_{s-1,\lambda}^r$ for $s \geq 1$ and $\mathcal{P}^1(\Lambda_{s,\lambda}) = \Lambda_{s,\lambda}^{q^2}$ for $s \geq 2$;
- (3) $\mathcal{P}^{r^s}(\Omega_{s,j}) = \Omega_{s+1,j}$, $\mathcal{P}^{r^s}(\Gamma_{s,\lambda}) = \Gamma_{s+1,\lambda}$ and $\mathcal{P}^{q^{2s-1}}(\Lambda_{s,\lambda}) = \Lambda_{s+1,\lambda}$ for $s \geq 1$;
- (4) $\mathcal{P}^{r^s+1}(\Omega_{s,j}) = \Omega_{s,j}^r$, $\mathcal{P}^{r^s+1}(\Gamma_{s,\lambda}) = \Gamma_{s,\lambda}^r$ for $s \geq 0$ and $\mathcal{P}^{q^{2s-1}+1}(\Lambda_{s,\lambda}) = \Lambda_{s,\lambda}^{q^2}$ for $s \geq 1$;
- (5) $\mathcal{P}^i(\Omega_{s,j}) = 0$, $\mathcal{P}^i(\Gamma_{s,\lambda}) = 0$ and $\mathcal{P}^i(\Lambda_{s,\lambda}) = 0$, otherwise.

Lemma 4.4. *Assume by convention that $\Omega_{s,j} = 0$, $\Gamma_{s,\lambda} = 0$ for $s < 0$, and $\Lambda_s = 0$ for $s \leq 0$.*

- (1) $\psi_l(\Omega_{s,j}) \in \mathbb{F}[x_1, \psi_l(x_2), \dots, \psi_{l-1}(x_l), \Omega_{s-1,j}, \Omega_{s,j}, \Omega_{s+1,j}, \dots, \Omega_{s+l,j}]$.

- (2) $\psi_l(\Gamma_{s,\lambda}) \in \mathbb{F}[x_1, \psi_1(x_2), \dots, \psi_{l-1}(x_l), \Gamma_{s-1,\lambda}, \Gamma_{s,\lambda}, \Gamma_{s+1,\lambda}, \dots, \Gamma_{s+l,\lambda}]$.
- (3) $\psi_l(\Lambda_{s,\lambda}) \in \mathbb{F}[x_1, \psi_1(x_2), \dots, \psi_{l-1}(x_l), \Lambda_{s-1,\lambda}, \Lambda_{s,\lambda}, \Lambda_{s+1,\lambda}, \dots, \Lambda_{s+l,\lambda}]$.

Proposition 4.5. *For every $l \geq 0$ and $s > 0$, the polynomials $\psi_l(\Omega_{0,1})$, $\psi_l(\Omega_{s,j})$, $\psi_l(\Gamma_{0,\lambda})$, $\psi_l(\Gamma_{s,\lambda})$, and $\psi_l(\Lambda_{s,\lambda})$ belong to $\mathbb{F}[x_1, \dots, x_{n-l}]$. Moreover, for $0 \leq l \leq m - 1$, their degree in the variable x_{n-l} is as follows:*

- (1) r^l for $\psi_l(\Omega_{0,1})$ and $\psi_l(\Gamma_{0,\lambda})$;
- (2) r^{l+s} for $\psi_l(\Omega_{s,j})$ and $\psi_l(\Gamma_{s,\lambda})$;
- (3) $q^{2l+2s-1}$ for $\psi_l(\Lambda_{s,\lambda})$.

Proof. Since $\psi_l(x_i) = 0$ for all $i \leq l$, it is easy to see that $\psi_l(\Omega_{0,1})$, $\psi_l(\Omega_{s,j})$, $\psi_l(\Gamma_{0,\lambda})$, $\psi_l(\Gamma_{s,\lambda})$, $\psi_l(\Lambda_{s,\lambda}) \in \mathbb{F}[x_1, \dots, x_{n-l}]$.

By definition, $\psi_l(x_i) = F_{l,r}(x_i)$, and it can be easily proven by induction on l that $F_{l,r}(x_i) \in \mathbb{F}[x_1, \dots, x_i]$ with degree r^l in x_i . Since

$$\psi_l(\Omega_{0,1}) = \sum_{i=l+1}^m \psi_l(x_{n-i+1})\psi_l(x_i),$$

we conclude that $\psi_l(\Omega_{0,1})$ and, similarly, $\psi_l(\Gamma_{0,\lambda})$ have degree equal to r^l in x_{n-l} for $0 \leq l \leq m - 1$. For $\Omega_{s,j}$, we have

$$\psi_l(\Omega_{s,j}) = \sum_{i=l+1}^m \psi_l(x_{n-i+1})r^s\psi_l(x_i) + j\psi_l(x_{n-i+1})\psi_l(x_i)^s,$$

and therefore $\psi_l(\Omega_{s,j})$ has degree r^{l+s} in x_{n-l} . Similar arguments give us the results for $\psi_l(\Gamma_{s,\lambda})$ and $\psi_l(\Lambda_{s,\lambda})$. □

We now introduce two subgroups of $U(2t + d, \mathbb{F})$. Let H^+ be the set of matrices

$$\left(\begin{array}{c|c|c} I_t & 0 & 0 \\ \hline 0 & I_d & 0 \\ \hline C^+ & 0 & I_t \end{array} \right),$$

where I_t and I_d are the $t \times t$ and $d \times d$ identity matrices, respectively; and C^+ is any $t \times t$ matrix with entries in \mathbb{F} such that

$$c_{i,j}^+ = \bar{c}_{t-j+1,t-i+1}^+ \quad \text{for all } i \text{ and } j.$$

It is not hard to check that H^+ is an abelian subgroup of $U(2t + d, \mathbb{F})$. If in the elements of H^+ , we replace the matrix C^+ by a matrix C^- , of the same dimension, such that

$$c_{i,j}^- = -\bar{c}_{t-j+1,t-i+1}^- \quad \text{for all } i \text{ and } j,$$

we obtain another abelian subgroup of $U(2t + d, \mathbb{F})$. We denote it by H^- . Let $P[k]$ denote the polynomial ring $\mathbb{F}[x_1, \dots, x_{t+d}, x_{t+d+1}, \dots, x_{t+d+k}]$.

Proposition 4.6. *Let $k \in \{1, \dots, t\}$.*

(1) *The minimal degree in x_{t+d+k} of a polynomial in $R[t + d + k]^{H^+}$ is greater than or equal to*

$$\begin{cases} q^{2(t-k)+1} & \text{if } \mathbb{F} = \mathbb{F}_{q^2} \\ q^{t-k+1} & \text{if } \mathbb{F} = \mathbb{F}_q \end{cases}.$$

(2) *The minimal degree in x_{t+d+k} of a polynomial in $R[t + d + k]^{H^-}$ is greater than or equal to*

$$\begin{cases} q^{2(t-k)+1} & \text{if } \mathbb{F} = \mathbb{F}_{q^2} \\ q^{t-k} & \text{if } \mathbb{F} = \mathbb{F}_q \text{ and } q \text{ odd} \\ q^{t-k+1} & \text{if } \mathbb{F} = \mathbb{F}_q \text{ and } q \text{ even} \end{cases}.$$

Remark 4.7. Assume that $\mathbb{F} = \mathbb{F}_q$ with q even and that in the elements of H^- the matrices C^- also satisfy $c_{i,t-i+1}^- = 0$. Then it follows from the proof of Proposition 6.7 that the minimal degree in x_{t+d+k} of a polynomial in $R[t + d + k]^{H^-}$ will be greater than or equal to q^{t-k} .

The invariant rings for the following two subgroups of $U(n, \mathbb{F})$ will be important in the subsequent subsections.

- Let U_1 be the set of elements $u \in U(n, \mathbb{F})$ such that $u(x_j) = x_j + \sum_{k=1}^{j-1} a_{jk}x_k$, for $1 \leq j \leq n - 1$ and $u(x_n) = x_n + \sum_{k=1}^{n-2} a_{nk}x_k$.
- Let U_2 be the set of elements $u \in U(n, \mathbb{F}_{q^2})$ such that $u(x_j) = x_j + \sum_{k=1}^{j-1} a_{jk}x_k$, for $1 \leq j \leq n - 1$ and $u(x_n) = x_n + bx_{n-1} + \sum_{k=1}^{n-2} a_{nk}x_k$ with $b + \bar{b} = 0$.

Lemma 4.8. *Let U_1 and U_2 be the groups defined above. For each $j \in \{1, \dots, n\}$ and $k \in \{1, 2\}$, we have*

$$\mathbb{F}[x_1, x_2, \dots, x_j]^{U_k} = \mathbb{F}[x_1, N(x_2), \dots, N(x_j)],$$

where $N(x_i)$ is the orbit product of x_i for $i \leq j$. Furthermore, the degree in x_j of $N(x_j)$ is minimal among the elements in $\mathbb{F}[x_1, x_2, \dots, x_j]^{U_k}$.

4.1. The Invariant Field of a Sylow p -Subgroup of $GU(2m, q^2)$

Here $\mathbb{F} = \mathbb{F}_{q^2}$ and $n = 2m$. Let G denote the Sylow p -subgroup of $GU(2m, q^2)$ given in Lemma 3.3. First, we introduce a family of polynomials which we shall prove to be invariants under the action of G . For $k \geq 1$, define

$$h_k := \Lambda_{k,0}.$$

Thus $h_1 = \sum_{i=1}^m (x_{2m-i+1}^q x_i + x_{2m-i+1} x_i^q)$.

Lemma 4.9. *For all $k \geq 1$, the polynomials h_k belong to $\mathbb{F}_{q^2}[V]^{GU(2m, q^2)}$.*

Proof. From Lemma 4.3, we get $h_k = \mathcal{P}^{q^{2k-3}}(h_{k-1})$ for $k > 1$, where $\mathcal{P}^{q^{2k-3}}$ is the q^{2k-3} th Steenrod operation. Hence it is enough to prove that h_1 is an invariant polynomial. In order to use polynomial functions rather than polynomials in $\text{Sym}(V^*)$, we take $v = (\alpha_1, \dots, \alpha_n) \in \bar{V} = \overline{\mathbb{F}_{q^2}^n}$, where $\overline{\mathbb{F}_{q^2}}$ is the algebraic closure of \mathbb{F}_{q^2} . Thus $h_1(v) = \sum_{i=1}^m (\alpha_{2m-i+1}^q \alpha_i + \alpha_{2m-i+1} \alpha_i^q) = h_1(v) = v^T J_{2m} \bar{v}$. Now, let $M \in GU(2m, q^2)$. Then

$$(M.h_1)(v) = h_1(M^{-1}v) = (M^{-1}v)^T J_{2m} \overline{M^{-1}v} = v^T (M^{-1})^T J_{2m} \overline{M^{-1}v} = v^T J_{2m} \bar{v} = h_1(v),$$

where we have used the definition of $GU(2m, q^2)$. Hence $M.h_1 = h_1$. □

Theorem 4.10. *Let G be the Sylow p -group of $GU(2m, q^2)$ as described in Lemma 3.3. The invariant field $\mathbb{F}_{q^2}(V)^G$ is generated by the polynomials $N(x_j)$, with $j = 1, \dots, m + 1$, and the polynomials h_k , with $k = 1, \dots, m - 1$, i.e.,*

$$\mathbb{F}_{q^2}(V)^G = \mathbb{F}_{q^2}(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1}).$$

Proof. We shall use Theorem 2.1 to get the result. We start by noting that the matrices F in the elements of G look like

$$\begin{pmatrix} 1 & 0 \\ c & 1 \end{pmatrix}$$

where c is an element in \mathbb{F}_{q^2} satisfying $c + \bar{c} = 0$. Hence G acts on $R[m + 1]$ in the same way as the group U_2 in Lemma 4.8, and we obtain that $R[j]^G = R[j]^{U_2}$ for each $j \in \{1, \dots, m + 1\}$. It also follows from Lemma 4.8 that $N(x_j)$ is an element in $R[j]^G$ of minimal degree in x_j . Therefore, for each $j \in \{1, \dots, m + 1\}$, we choose $\phi_j = N(x_j)$.

Now, if we consider all the elements of G for which A and F are the identity matrices and B the zero matrix, then we obtain an abelian subgroup H of G whose elements are

$$\left(\begin{array}{c|c|c} I_{m-1} & 0 & 0 \\ \hline 0 & I_2 & 0 \\ \hline J_{m-1} \bar{S} & 0 & I_{m-1} \end{array} \right)$$

with $S \in M(m - 1, q^2)$ such that $S + \bar{S}^T = 0$ and J_{m-1} is the matrix given by (4) in Section 3. Let $C = J_{m-1} \bar{S}$. Note that the multiplication by J_{m-1} swaps the rows i and $(m - 1) - i + 1 = m - i$ of \bar{S} for all i . Thus, since $S + \bar{S}^T = 0$ we obtain $c_{i,j} = \bar{s}_{m-i,j} = -s_{j,m-i} = -\bar{c}_{m-j,m-i}$. Now, assume that C is a $(m - 1) \times (m - 1)$ matrix with entries in \mathbb{F}_{q^2} such that $c_{i,j} = -\bar{c}_{(m-1)-j+1, (m-1)-i+1} = -\bar{c}_{m-j,m-i}$. By taking $S = J_{m-1} \bar{C}$ we get $s_{i,j} = -\bar{c}_{m-i,j} = -c_{m-j,i} = -\bar{s}_{j,i}$ and therefore $S + \bar{S}^T = 0$. Hence H is the subgroup H^- with $t = m - 1$ and $d = 2$. Let $k \in \{1, \dots, m - 1\}$. Since $R[m + 1 + k]^G \subset R[m + 1 + k]^{H^-}$, applying Proposition 4.6 we obtain that the minimal degree in x_{m+1+k} of an element in $R[m + 1 + k]^G$ is greater than or equal to $q^{2(m-k)-1}$.

By Proposition 4.5, this is the x_{m+1+k} -degree of $\psi_{m-1-k}(\Lambda_{1,0}) = \psi_{m-1-k}(h_1)$. Now, we have $\psi_{m-1-k}(h_1) \in R[m+1+k]^G$ by Proposition 4.2-4 and Lemma 4.9. Hence we can take $\phi_{m+1+k} = \psi_{m-1-k}(h_1)$. It follows from Theorem 2.1 that

$$\mathbb{F}_{q^2}(V)^G = \mathbb{F}_{q^2}(x_1, N(x_2), \dots, N(x_{m+1}), \psi_{m-2}(h_1), \dots, \psi_1(h_1), h_1).$$

Applying Lemma 4.4 and Proposition 4.2-2, we get for each $k < m - 1$

$$\psi_{m-1-k}(h_1) \in \mathbb{F}_{q^2}[x_1, N(x_2), \dots, N(x_{m-1-k}), h_1, h_2, \dots, h_{m-k}].$$

Hence

$$\mathbb{F}_{q^2}(V)^G = \mathbb{F}_{q^2}(x_1, N(x_2), \dots, N(x_{m+1}), h_{m-1}, \dots, h_1),$$

and this finishes the proof. □

4.2. The Invariant Field of a Sylow p -Subgroup of $GU(2m + 1, q^2)$

Here $\mathbb{F} = \mathbb{F}_{q^2}$ and $n = 2m + 1$. We consider the following family of polynomials: for $k \geq 1$, let

$$h_k := \Lambda_{k,1}.$$

Thus $h_1 = \sum_{i=1}^m (x_{2m+1-i+1}^q x_i + x_{2m+1-i+1} x_i^q) + x_{m+1}^{q+1}$.

Lemma 4.11. *For all $k \geq 1$, the h_k belong to $\mathbb{F}_{q^2}[V]^{GU(2m+1, q^2)}$.*

Proof. From Lemma 4.3, we get $h_k = \mathcal{P}q^{2k-3}(h_{k-1})$ for $k > 1$. Hence it suffices to prove that h_1 is invariant. This now is entirely analogous to the arguments in the proof of Lemma 4.9. □

Theorem 4.12. *Let G denote the Sylow p -subgroup of $GU(2m + 1, q^2)$ given by Lemma 3.3. The invariant field $\mathbb{F}_{q^2}(V)^G$ is generated by the polynomials $N(x_j)$, with $j = 1, \dots, m + 1$, and the polynomials h_k , with $k = 1, \dots, m$, i.e.,*

$$\mathbb{F}_{q^2}(V)^G = \mathbb{F}_{q^2}(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_m).$$

Proof. In this case, G acts on $R[m + 1]$ like the group $U(m + 1, q^2)$. Therefore, for each $j \in \{1, \dots, m + 1\}$, the degree in x_j of $N(x_j) \in R[j]^G$ is minimal, and we can take $\phi_j = N(x_j)$. If we consider the elements of G for which A is the identity matrix and v is the zero vector, then we obtain an abelian subgroup H . Similarly to what was done in the proof of Theorem 4.10, we can show that H is the group H^- with $t = m$ and $d = 1$. Let $k \in \{0, \dots, m\}$. Since $R[m + 1 + k]^G \subset R[m + 1 + k]^{H^-}$ and using Proposition 4.6, we can see that the minimal degree in x_{m+1+k} of a polynomial in $R[m + 1 + k]^G$ is greater than or equal to $q^{2(m-k)+1}$. By Proposition 4.5, this is the x_{m+1+k} -degree of

$$\psi_{m-k}(\Lambda_{1,1}) = \psi_{m-k}(h_1) \in R[m + 1 + k].$$

According to Lemma 4.11 and Proposition 4.2-4, we have that $\psi_{m-k}(h_1)$ is invariant for G , and therefore, we can take $\phi_{m+1+k} = \psi_{m-k}(h_1)$. Now, from Lemma 4.4 and Proposition 4.2-2, it follows that for $k < m$, $\psi_{m-k}(h_1) \in \mathbb{F}_{q^2}[x_1, N(x_2), \dots, N(x_{m-k}), h_1, h_2, \dots, h_{m+1-k}]$. Finally, applying Theorem 2.1, we conclude that

$$\mathbb{F}_{q^2}(V)^G = \mathbb{F}_{q^2}(x_1, N(x_2), \dots, N(x_{m+1}), h_m, \dots, h_1),$$

and this finishes the proof. □

4.3. The Invariant Field of a Sylow p -Subgroup of $Sp(2m, q)$

Here $\mathbb{F} = \mathbb{F}_q$ and $n = 2m$. Now, for each $k \geq 1$, let $h_k := \Omega_{k,-1}$. Thus $h_1 = \sum_{i=1}^m (x_{2m-i+1}^q x_i - x_{2m-i+1} x_i^q)$.

Lemma 4.13. *For all $k \geq 1$, the polynomials h_k belong to $\mathbb{F}_q[V]^{Sp(2m,q)}$.*

Proof. By Lemma 4.3, $h_k = \mathcal{P}^{q^{k-1}}(h_{k-1})$ for $k > 1$, and so it is enough to prove that h_1 is an invariant polynomial, which is done in the same way as in the proof of Lemma 4.9. □

Theorem 4.14. *Let G be the Sylow p -subgroup of $Sp(2m, q)$ given by Lemma 3.3. The invariant field $\mathbb{F}_q(V)^G$ is generated by the polynomials $N(x_i)$, with $i = 1, \dots, m + 1$, and the polynomials h_k , with $k = 1, \dots, m - 1$, i.e.,*

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1}).$$

Proof. By choosing the elements of G for which A and F are the identity matrices and B is the zero matrix, we obtain an abelian subgroup H of G with elements

$$\left(\begin{array}{c|c|c} I_{m-1} & 0 & 0 \\ \hline 0 & I_2 & 0 \\ \hline J_{m-1}S & 0 & I_{m-1} \end{array} \right),$$

where $S \in M(m - 1, q)$ is such that $S - S^T = 0$. It is easy to check that if $C = J_{m-1}S$ then $c_{i,j} = c_{m-j,m-i}$. Also if C is any matrix with entries in \mathbb{F}_q satisfying $c_{i,j} = c_{m-j,m-i}$, then $S = J_{m-1}C$ satisfies $S - S^T = 0$. Hence H is the group H^+ with $t = m - 1$ and $d = 2$. Now, let $k \in \{1, \dots, m - 1\}$. Since $R[m + 1 + k]^G \subset R[m + 1 + k]^{H^+}$ the minimal degree in x_{m+1+k} of a polynomial in $R[m + 1 + k]^G$ is, according to Proposition 4.6, greater than or equal to q^{m-k} . We know from Proposition 4.5 that q^{m-k} is actually the degree of $\psi_{m-1-k}(\Omega_{1,-1}) = \psi_{m-1-k}(h_1)$. We know that $\psi_l(h_1)$ is an invariant polynomial by Proposition 4.2-4 and Lemma 4.13, and therefore, we take $\phi_{m+1+k} = \psi_{m-1-k}(h_1)$. Also, it follows from Lemma 4.4 and Proposition 4.2-2 that for $k < m - 1$

$$\psi_{m-1-k}(h_1) \in \mathbb{F}_q[x_1, N(x_2), \dots, N(x_{m-1-k}), h_1, h_2, \dots, h_{m-k}].$$

Finally, for each $j \in \{1, \dots, m + 1\}$, we compute the polynomial ϕ_j . We note that G is acting on $R[m + 1]$ in the same way as is the group $U(m + 1, \mathbb{F}_q)$. Hence $R[j]^G = R[j]^{U(m+1, \mathbb{F}_q)}$, and therefore, we can choose $\phi_j = N(x_j)$. Applying Theorem 2.1, we conclude that

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_{m-1}, \dots, h_1),$$

and this finishes the proof. □

4.4. The Invariant Field of a Sylow p -Subgroup of $O^+(2m, q)$

Let again $V = \mathbb{F}_q^n$ with $n = 2m$. The orthogonal group $O^+(2m, q)$ is the group of invertible matrices that preserve the quadratic form

$$Q(v) = \sum_{i=1}^m \alpha_{2m-i+1} \alpha_i$$

with $v = \sum_{i=1}^m (\alpha_i u_i + \alpha_{2m-i+1} v_i)$ (see [15]). Now consider the following family of polynomials: for $k \geq 1$ define $h_k := \Omega_{k-1,1}$. In particular, $h_1 = \sum_{i=1}^m x_{2m-i+1} x_i$. The next lemma shows that h_k is invariant under the action of $O^+(2m, q)$ for all k .

Lemma 4.15. *For all $k \geq 1$ the polynomials h_k belong to $\mathbb{F}_q[V]^{O^+(2m,q)}$.*

Proof. We know from Lemma 4.3 that for $k > 1$, h_k is the q^{k-2} th Steenrod operation of h_{k-1} , and therefore, we just have to show that h_1 is invariant. This follows directly from the definition of the group $O^+(2m, q)$. □

We have to consider separately the cases when the characteristic of \mathbb{F}_q is 2 and when it is not.

Theorem 4.16. *Let q be odd and let G be the Sylow p -subgroup of $O^+(2m, q)$ given by Lemma 3.4. The invariant field $\mathbb{F}_q(V)^G$ is generated by the polynomials $N(x_i)$, with $i = 1, \dots, m + 1$, and the polynomials h_k , with $k = 1, \dots, m - 1$, i.e.,*

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1}).$$

Proof. First let us consider the abelian subgroup H of G obtained by taking the elements of G for which the matrices A and B are equal to the identity and the zero matrix, respectively. Analogously to the proof of Theorem 4.10, we can easily show that $H = H^-$ with $t = m - 1$ and $d = 2$. Let $k \in \{1, \dots, m - 1\}$. We proceed analogously to the proof of Theorem 4.14. Note that $R[m + 1 + k]^G \subset R[m + 1 + k]^{H^-}$ and therefore it follows from Proposition 4.6 that the minimal degree in x_{m+1+k} of a polynomial in $R[m + 1 + k]^G$ is greater than or equal to q^{m-1-k} . According to Proposition 4.5, this is the x_{m+1+k} -degree of

$$\psi_{m-1-k}(\Omega_{0,1}) = \psi_{m-1-k}(h_1).$$

Now, $\psi_{m-1-k}(h_1)$ is invariant by Lemma 4.15 and Proposition 4.2-4. Hence, we can take $\phi_{m+1+k} = \psi_{m-1-k}(h_1)$. Applying Lemma 4.4 and Proposition 4.2-2, we see that for $k < m - 1$,

$$\psi_{m-1-k}(h_1) \in \mathbb{F}_q[x_1, N(x_2), \dots, N(x_{m-1-k}), h_1, h_2, \dots, h_{m-k}].$$

Now we determine for each $j \in \{1, \dots, m + 1\}$, the polynomial ϕ_j . By looking at how G acts on $R[m + 1]$ we can see it is acting in the same way as the group U_1 in Lemma 4.8. Hence we can choose $\phi_j = N(x_j)$ for $j \in \{1, \dots, m + 1\}$. Applying Theorem 2.1, we conclude that

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_{m-1}, \dots, h_1),$$

which finishes the proof. □

Finally, we assume that the characteristic of \mathbb{F}_q is 2. Consider the subgroup G_1 of $O^+(2m, q)$ given in Lemma 3.5.

Lemma 4.17. *The invariant field for G_1 is generated by the polynomials $N(x_i)$, with $i = 1, \dots, m + 1$, and the polynomials h_k , with $k = 1, \dots, m - 1$, i.e.,*

$$\mathbb{F}_q(V)^{G_1} = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1}).$$

Proof. First we would like to note that in the proof of Theorem 4.16 the only time we made use of the characteristic of \mathbb{F}_q was when we applied Proposition 4.6. If we consider the elements of G_1 with A equal to the identity matrix and B the zero matrix, then we obtain an abelian subgroup H_1 with elements

$$\left(\begin{array}{c|c|c} I_{m-1} & 0 & 0 \\ \hline 0 & I_2 & 0 \\ \hline J_{m-1}S & 0 & I_{m-1} \end{array} \right)$$

where $S \in M(m - 1, q)$ is such that $S + S^T = 0$ and $s_{ii} = 0$. Hence for $C = J_{m-1}S$ we also have $c_{i,m-i} = 0$. Now, we can use Remark 4.7 instead of Proposition 4.6 to obtain the same conclusion as in the proof of Theorem 4.16 about the minimal degrees in x_{m+1+k} . The rest of the proof is similar to the one of Theorem 4.16. □

Theorem 4.18. *Let G be the Sylow p -subgroup of $O^+(2m, q)$, with q even, given by Lemma 3.5. Then $\mathbb{F}_q(V)^G$ is generated by the polynomials*

$$x_1, N(x_2), \dots, N(x_{m-1}), N(x_m) + N(x_{m+1}), N(x_m)N(x_{m+1}), h_1, \dots, h_{m-1}.$$

Proof. We showed in the proof of Lemma 4.17 that L normalizes G_1 . Hence G_1 is a normal subgroup of G and $G/G_1 = \langle L \rangle$. We have

$$\mathbb{F}_q(V)^G = (\mathbb{F}_q(V)^{G_1})^{\langle L \rangle}$$

and applying Lemma 4.17 we get

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1})^{<L>}.$$

It also follows from Lemma 4.17 that

$$R := \mathbb{F}_q[x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1}]$$

is a polynomial ring, so $\mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1})^{<L>}$ is the fraction field of $R^{<L>}$. Now, $<L>$ is a group of order 2 and it is acting on R such that it fixes the elements $x_1, N(x_2), \dots, N(x_{m-1}), h_1, h_2, \dots, h_{m-1}$ and swaps $N(x_m)$ with $N(x_{m+1})$. It is known that the invariant ring for the symmetric group Σ_2 acting on $\mathbb{F}_q[X, Y]$ by interchanging X with Y is generated by $X + Y$ and XY (see [14] Theorem 1.1.1). Hence $\mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_{m-1})^{<L>}$ is generated by

$$x_1, N(x_2), \dots, N(x_m), N(x_m) + N(x_{m+1}), N(x_m)N(x_{m+1}), h_1, \dots, h_{m-1},$$

and this finishes the proof. □

4.5. The Invariant Field of a Sylow p -Subgroup of $O^-(2m + 2, q)$

Here $\mathbb{F} = \mathbb{F}_q$ and $n = 2m + 2$. In [15] we can see that the orthogonal group $O^-(2m + 2, q)$ is the group of invertible matrices that preserve the quadratic form

$$Q(v) = \sum_{i=1}^m \alpha_{2m+2-i+1} \alpha_i + \alpha_{m+1}^2 + \alpha_{m+1} \alpha_{m+2} + a \alpha_{m+2}^2,$$

where we chose a such that the polynomial $X^2 + X + a$ is irreducible in $\mathbb{F}_q[X]$. Keeping in mind that now $n = 2(m + 1)$, for $k \geq 1$ define

$$h_k := \Gamma_{k-1, a}.$$

Thus $h_1 = \sum_{i=1}^m x_{2m+2-i+1} x_i + x_{m+1}^2 + x_{m+1} x_{m+2} + a x_{m+2}^2$. We prove that all h_k are invariant under the action of $O^-(2m + 2, q)$.

Lemma 4.19. *For every $k \geq 1$, h_k belongs to $\mathbb{F}_q[V]^{O^-(2m+2, q)}$.*

Proof. We know from Lemma 4.3 that for $k > 1$, h_k is the q^{k-2} th Steenrod operation of h_{k-1} and so we only need to check that h_1 is invariant. Just as in the proof of Lemma 4.15, this follows from the definition of $O^-(2m + 2, q)$. □

Just as in the previous subsection, we study separately the cases when q is odd and when it is even.

Theorem 4.20. *Let q be odd, and let G be the Sylow p -subgroup of $O^-(2m + 2, q)$ defined in Lemma 3.4. The invariant field $\mathbb{F}_q(V)^G$ is generated by the polynomials $N(x_i)$, with $i = 1, \dots, m + 2$, and the polynomials h_j , with $j = 1, \dots, m$, i.e.,*

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+2}), h_1, \dots, h_m).$$

Proof. If in the proof of Theorem 4.16 we replace m by $m + 1$ and $\Omega_{0,1}$ by $\Gamma_{0,1}$, then we obtain a proof for this theorem. \square

Now we assume that the characteristic of \mathbb{F}_q is 2 and consider the subgroup G_1 of $O^-(2m + 2, q)$ given in Lemma 3.5.

Lemma 4.21. *The invariant field for G_1 is generated by the polynomials $N(x_i)$, with $i = 1, \dots, m + 2$, and the polynomials h_k , with $k = 1, \dots, m$, i.e.,*

$$\mathbb{F}_q(V)^{G_1} = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+2}), h_1, \dots, h_m).$$

Proof. If we replace m by $m + 1$ and use Theorem 4.20 instead of Theorem 4.16 in the proof of Lemma 4.17, then we get a proof for the result here stated. \square

Theorem 4.22. *Let q be even and let G be the Sylow p -subgroup of $O^-(2m + 2, q)$, given by Lemma 3.5. Then $\mathbb{F}_q(V)^G$ is generated by*

$$x_1, N(x_2), \dots, N(x_m), N(x_{m+1})^2 + N(x_m)N(x_{m+1}), N(x_{m+2}), h_1, \dots, h_m.$$

Proof. We use similar arguments to those in the proof of Theorem 4.18. Here we also have $\mathbb{F}_q(V)^G = (\mathbb{F}_q(V)^{G_1})^{\langle L_1 \rangle}$. Applying Lemma 4.21, we get $\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+2}), h_1, \dots, h_m)^{\langle L_1 \rangle}$, which is the fraction field of $R^{\langle L_1 \rangle}$ with $R := \mathbb{F}_q[x_1, N(x_2), \dots, N(x_{m+2}), h_1, \dots, h_m]$. Now, we can easily check that $\langle L_1 \rangle$ is a group of order 2 acting on R by fixing $x_1, N(x_2), \dots, N(x_m), N(x_{m+2}), h_2, \dots, h_{m-1}$ and mapping $N(x_{m+1}) \mapsto N(x_{m+1}) + N(x_m)$. Applying Theorem 6.5, we can prove that the invariant ring of a group of order 2 acting on $\mathbb{F}_q[X, Y]$ such that it fixes X and maps Y to $Y + X$ is generated by X and $Y^2 + XY$. Hence $\mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+2}), h_1, \dots, h_m)^{\langle L_1 \rangle}$ is generated by

$$x_1, N(x_2), \dots, N(x_m), N(x_{m+1})^2 + N(x_m)N(x_{m+1}), N(x_{m+2}), h_1, \dots, h_m),$$

and the proof is complete. \square

4.6. The Invariant Field of a Sylow p -Subgroup of $O(2m + 1, q)$

In [15] we can see that the orthogonal group $O(2m + 1, q)$ is the group of invertible matrices that preserve the quadratic form

$$Q(v) = \sum_{i=1}^m \alpha_{2m+1-i+1} \alpha_i + \alpha_{m+1}^2,$$

where we chose a basis for V such that

$$v = \sum_{i=1}^m (\alpha_i u_i + \alpha_{2m+2-i+1} v_i) + \alpha_{m+1} w.$$

Consider the following family of polynomials: for $k \geq 1$ take

$$h_k := \Gamma_{k-1,0}.$$

In particular, $h_1 = \sum_{i=1}^m x_{n-i+1}x_i + x_{m+1}^2$. The next lemma shows that all these polynomials are invariant under the action of $O(2m + 1, q)$.

Lemma 4.23. *For all $k \geq 1$, the polynomials h_k belong to $\mathbb{F}_q[V]^{O(2m+1,q)}$.*

Proof. It follows from Lemma 4.3 that $h_k = \mathcal{P}^{q^{k-2}}(h_{k-1})$ for $k > 1$. Hence it suffices to show that h_1 is an invariant polynomial. Again as in the proof of Lemma 4.15, this follows from the definition of the group $O(2m + 1, q)$. □

Theorem 4.24. *Let G be the Sylow p -subgroup of $O(2m + 1, q)$ given by Lemma 3.4 or by Lemma 3.5. The invariant field $\mathbb{F}_q(V)^G$ is generated by the polynomials $N(x_i)$, with $i = 1, \dots, m + 1$, and the polynomials h_k , with $k = 1, \dots, m$, i.e.,*

$$\mathbb{F}_q(V)^G = \mathbb{F}_q(x_1, N(x_2), \dots, N(x_{m+1}), h_1, \dots, h_m).$$

Proof. First assume that q is odd. The proof is analogous, for example, to the proofs of Theorems 4.16 or 4.12. In the same way we construct an abelian subgroup H of G which we then prove to be the subgroup H^- . Therefore, Proposition 4.6 tells us that q^{m-k} is a lower bound for the minimal degree in x_{m+1+k} of a polynomial in $R[m + 1 + k]^G$ for every $k \in \{1, \dots, m\}$. Applying Proposition 4.5, Lemma 4.4, Proposition 4.2-2, and Lemma 4.23, we conclude that

$$\psi_{m-k}(\Gamma_{0,0}) = \psi_{m-k}(h_1) \in R[m + 1 + k]^G$$

with degree q^{m-k} in x_{m+1+k} . For each $j \in \{1, \dots, m + 1\}$, and using the same argument as in the proof of 4.16, we can show that the degree in x_j of $N(x_j)$ is minimal among the elements of $R[j]^G$. Now, applying Theorem 2.1 completes the proof for odd q . If q is even, the proof is analogous to the previous one, but now we use Lemma 4.17 instead of Theorem 4.16. □

5. PROOFS FOR SECTION 3

In this section, we present the proofs of Lemmas 3.3, 3.4, and 3.5. We keep the notation of Section 3. We start by determining the orders of the groups \mathcal{G}_{X_1, X_2}^+ and \mathcal{G}_{X_1, X_2}^- with the following extra assumption, which will be satisfied in all cases considered later.

Hypothesis (H): If $\mathbb{F} = \mathbb{F}_q$ with q even, then we assume that the diagonal entries of $B^T X_2 B$ are equal to zero for every $B \in M(l \times n, \mathbb{F})$.

Lemma 5.1. *Assume hypothesis (H), and for given B , let χ_B be the number of matrices S satisfying: $S + (\epsilon \bar{S}^T) = -B^T X_2 \bar{B}$. Then χ_B is, independently of B , equal to*

$$\begin{cases} q^{n(n-1)} q^n & \text{if } \mathbb{F} = \mathbb{F}_{q^2} \\ q^{\frac{n(n-1)}{2}} & \text{if } \mathbb{F} = \mathbb{F}_q, q \text{ odd and } \epsilon = \text{“ + ”} \\ q^{\frac{n(n-1)}{2}} q^n & \text{if } \mathbb{F} = \mathbb{F}_q, q \text{ even and } \epsilon = \text{“ + ”} \\ q^{\frac{n(n-1)}{2}} q^n & \text{if } \mathbb{F} = \mathbb{F}_q \text{ and } \epsilon = \text{“ - ”} \end{cases}$$

Proof. It is not hard to see that the solution set of the matrix-equation in the Lemma is nonempty: indeed, if $\mathbb{F} = \mathbb{F}_q$ this follows from $1/2 \in \mathbb{F}_q$ if q is odd and from hypothesis H if q even. Let $\mathbb{F} = \mathbb{F}_{q^2}$ and $Y = \epsilon \bar{Y}^T$ an arbitrary “right-hand side.” Due to the surjectivity of the trace function, there always exists $c \in \mathbb{F}$ with $c + \bar{c} = 1$, so for $S := cY$ we have $S + (\epsilon \bar{S}^T) = Y$. Hence the number of choices for S is the same as the number of solutions for $M + (\epsilon \bar{M}^T) = 0$. For this equation the number of solutions only depends on what happens to the diagonal entries of M when we consider different fields. In fact, for the remaining ones the number of possibilities is always $r^{\frac{n(n-1)}{2}}$, where r is the number of elements in \mathbb{F} .

When $\mathbb{F} = \mathbb{F}_q$, a simple argument give us the result. But if $\mathbb{F} = \mathbb{F}_{q^2}$, then we need to be more careful. Here the equation $M - \bar{M}^T = 0$ implies that $m_{ii} = \bar{m}_{ii}$ for all i . Hence $m_{ii} \in \mathbb{F}_q$ and there are q^n choices for the elements in the diagonal of M . Now, from $M + \bar{M}^T = 0$ we obtain $m_{ii} + \bar{m}_{ii} = 0$, i.e., each m_{ii} belongs to the kernel of the trace map, which has dimension 1 (see Lemma 10.1 in [15]). So there will be q^n different ways of choosing the elements in the diagonal of M . \square

Note that for $\mathfrak{G}_{X_1, X_2}^+$ and $\mathfrak{G}_{X_1, X_2}^-$ the number of choices for A and B are the same. If r is the number of elements in \mathbb{F} , then there are $r^{\frac{n(n-1)}{2}}$ choices for A and r^{ln} for B . Let s be number of matrices $F \in U(l, \mathbb{F})$ satisfying $F^T X_2 \bar{F} = X_2$. Applying Lemma 5.1, we obtain the orders of $\mathfrak{G}_{X_1, X_2}^+$ and $\mathfrak{G}_{X_1, X_2}^-$.

Lemma 5.2. *Let s be as above and assume hypothesis (H). Then*

$$|\mathfrak{G}_{X_1, X_2}^\epsilon| = \begin{cases} sq^{2n^2+(2l-1)n} & \text{if } \mathbb{F} = \mathbb{F}_{q^2} \\ sq^{n^2+(l-1)n} & \text{if } \mathbb{F} = \mathbb{F}_q, q \text{ odd and } \epsilon = “+” \\ sq^{n^2+ln} & \text{if } \mathbb{F} = \mathbb{F}_q, q \text{ even and } \epsilon = “+” \\ & \text{or if } \mathbb{F} = \mathbb{F}_q \text{ and } \epsilon = “-” \end{cases}.$$

Proof of Lemma 3.3. It is well known that up to equivalence there is only one nondegenerate hermitian form (see [15]). Also in [15] is shown that

$$|GU(n, q^2)| = q^{\frac{n(n-1)}{2}} \prod_{i=1}^n (q^i - (-1)^i). \tag{7}$$

Moreover, a basis can be chosen such that the matrix of the hermitian form is of type (2) in Section 3 with $\epsilon = “+”$ and the following statements hold:

- $X_1 = J_{m-1}$ and $X_2 = J_2$ for $GU(2m, q^2)$;
- $X_1 = J_m$ and $X_2 = [1]$ for $GU(2m + 1, q^2)$.

Let $G_1 = \mathfrak{G}_{J_{m-1}, J_2}^+$ and $G_2 = \mathfrak{G}_{J_m, 1}^+$ be the groups defined in Lemma 3.3. We note that a matrix F satisfies $F^T J_2 \bar{F} = J_2$ if and only if it is of the form $\begin{pmatrix} 1 & 0 \\ a & 1 \end{pmatrix}$ with $a + \bar{a} = 0$. Hence there are q different possibilities for F . Thus applying Lemma 5.2 we obtain that the order of G_1 is equal to q^{2m^2-m} . According to formula (7) this is the order of a Sylow p -subgroup for $GU(2m, q^2)$. Similarly, we can show that G_2 is a Sylow p -subgroup for $GU(2m + 1, q^2)$.

In [15], it is proven that up to equivalence there is only one nondegenerate alternating form which can be represented by the matrix (2) of Section 3 with $X_1 = J_{m-1}$, $X_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ and $\epsilon = \text{“-.”}$ Also,

$$|Sp(2m, q)| = q^{m^2} \prod_{i=1}^m (q^{2i} - 1). \tag{8}$$

Let G be the group given in Lemma 3.3-3. Then any matrix $F \in U(2, q)$ satisfies $F^T J_h F = J_h$ and the number of choices for F is q . Since hypothesis (H) is easily checked in this cases, applying Lemma 5.2 shows that G has order q^{m^2} . By formula (8) this is the order of a Sylow p -subgroup and the proof is complete.

The *orthogonal groups* are described as acting on $V = \mathbb{F}_q^n$ with $n \in \{2m, 2m + 1, 2m + 2\}$. We set $v := (\alpha_1, \dots, \alpha_n)^T \in V$.

Proposition 5.3.

- (i) $O^+(2m, q)$ is the group of invertible matrices preserving the quadratic form $Q(v) = \sum_{i=1}^m \alpha_{2m-i+1} \alpha_i$.
- (ii) $O^-(2m + 2, q)$ is the group of invertible matrices preserving the quadratic form

$$Q(v) = \sum_{i=1}^m \alpha_{2m+2-i+1} \alpha_i + \alpha_{m+1}^2 + \alpha_{m+1} \alpha_{m+2} + a \alpha_{m+2}^2,$$

where a is such that $X^2 + X + a$ is irreducible in $\mathbb{F}_q[X]$.

- (iii) $O(2m + 1, q)$ is the group of invertible matrices preserving the quadratic form $Q(v) = \sum_{i=1}^m \alpha_{2m+1-i+1} \alpha_i + \alpha_{m+1}^2$.

Proof. See [15] Chapter 11. □

Remark 5.4. Define $X_n := [\alpha_1 \dots \alpha_n]$ and let J_n be the matrix given by (4) in Section 3. Then we can rewrite the quadratic forms associated to each orthogonal group in the following way:

- (i) $Q(v) = X_{m-1} J_{m-1} Y^T + \alpha_{m+1} \alpha_m$, where $Y := [\alpha_{m+2} \dots \alpha_{2m}]$, for $O^+(2m, q)$;
- (ii) $Q(v) = X_m J_m Y^T + \alpha_{m+1}^2 + \alpha_{m+1} \alpha_{m+2} + a \alpha_{m+2}^2$, where $Y := [\alpha_{m+3} \dots \alpha_{2m+2}]$, for $O^-(2m + 2, q)$;
- (iii) $Q(v) = X_m J_m Y^T + \alpha_{m+1}^2$, where $Y := [\alpha_{m+2} \dots \alpha_{2m+1}]$, for $O(2m + 1, q)$.

The order of each orthogonal group is (see [15], p. 140)

$$|O^+(2m, q)| = 2q^{m(m-1)}(q^m - 1) \prod_{i=1}^{m-1} (q^{2i} - 1); \tag{9}$$

$$|O^-(2m + 2, q)| = 2q^{m(m+1)}(q^{m+1} + 1) \prod_{i=1}^m (q^{2i} - 1); \tag{10}$$

$$|O(2m + 1, q)| = \begin{cases} q^{m^2} \prod_{i=1}^m (q^{2i} - 1) & q \text{ even} \\ 2q^{m^2} \prod_{i=1}^m (q^{2i} - 1) & q \text{ odd} \end{cases} \tag{11}$$

Proof of Lemma 3.4. This is similar to the proof of Lemma 3.3. Since the characteristic of the field is odd, we obtain a Sylow p -subgroup by determining the subgroup of $U(n, q)$ preserving the bilinear form associated to the corresponding quadratic form. Now, we can choose a basis such that (2) in Section 3 is the matrix of the bilinear form with:

- $X_1 = J_{m-1}, X_2 = J_2$ for $O^+(2m, q)$;
- $X_1 = J_m, X_2 = \begin{pmatrix} 2 & 1 \\ 1 & 2a \end{pmatrix}$ for $O^-(2m+2, q)$;
- $X_1 = J_m, X_2 = [2]$ for $O(2m+1, q)$.

Since q is odd, hypothesis (H) holds and applying Lemma 5.2, we can show that, in each orthogonal group, the group $\mathfrak{S}_{X_1, X_2}^+$ has the same order as a Sylow p -subgroup. This completes the proof.

Lemma 5.5. *For q even and $S \in M(n, q)$, there are unique matrices S' and C , with S' symmetric and C upper triangular, such that $S = S' + C$.*

Proof. Define the symmetric matrix $S' = [s'_{ij}]$ by $s'_{ij} := s_{ji}$ for $i \leq j$, $s'_{ij} := s_{ij}$ for $i \geq j$ and the upper triangular matrix $C := [c_{ij}]$ by

$$c_{ij} = \begin{cases} s_{ij} + s_{ji} & \text{if } i < j \\ 0 & \text{if } i \geq j \end{cases}$$

Then we have $S = S' + C$. The matrices S' and C are unique because $S = S'_1 + C_1 = S'_2 + C_2$ implies that $S'_1 + S'_2 = C_1 + C_2 = 0$. \square

Let J_2 be the matrix $\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$.

Lemma 5.6. *Let $S \in M(n, q)$ and $B \in M(2 \times n, q)$. We also consider the row vectors $X = (\alpha_1, \dots, \alpha_n)$, $Z = (z_1, z_2)$, $Y = (y_1, y_2)$ whose entries belong \mathbb{F}_q .*

- (i) $XSX^T = \sum_{i=1}^n s_{ii}\alpha_i^2 + \sum_{i=1}^n \sum_{j=1, i < j}^n (s_{ij} + s_{ji})\alpha_i\alpha_j$.
- (ii) *If q is even, $S + S^T = B^T J_2 B$, and $Z = Y + XB^T$, then*

$$z_1 z_2 = y_1 y_2 + XB^T J_2 Y^T + XCX^T + \sum_{i=1}^n b_{1i} b_{2i} \alpha_i^2,$$

where C is the matrix defined in Lemma 5.5.

Proof. The result in (i) is obvious. Let us prove (ii). It is not hard to check that $B^T J_2 B$ is a symmetric matrix and its entries are $b_{1i} b_{2j} + b_{1j} b_{2i}$, $i, j = 1, \dots, n$. From $Z = Y + XB^T$, we get

$$\begin{aligned} z_1 z_2 &= \left(y_1 + \sum_{i=1}^n b_{1i} \alpha_i \right) \left(y_2 + \sum_{j=1}^n b_{2j} \alpha_j \right) \\ &= y_1 y_2 + XB^T J_2 Y^T + \sum_{i=1}^n \sum_{j=1}^n b_{1i} b_{2j} \alpha_i \alpha_j. \end{aligned}$$

Since

$$\begin{aligned} \sum_{i=1}^n \sum_{j=1}^n b_{1i} b_{2j} \alpha_i \alpha_j &= \sum_{i=1}^n b_{1i} b_{2i} \alpha_i^2 + \sum_{i=1}^n \sum_{j=1, j>i}^n (b_{1i} b_{2j} + b_{1j} b_{2i}) \alpha_i \alpha_j \\ &= \sum_{i=1}^n b_{1i} b_{2i} \alpha_i^2 + XCX^T. \end{aligned}$$

This completes the proof of (ii). □

Proof of Lemma 3.5. In the even characteristic case, we start by determining the subgroup G of $U(n, q)$ preserving the bilinear form associated to the corresponding quadratic form. Then we compute the subgroup G_1 of G whose elements preserve the quadratic form. For $O(2m + 1, q)$, G_1 will be a Sylow p -subgroup. However, for the groups $O^+(2m, q)$ and $O^-(2m + 2, q)$, this is not the case, and we shall need an additional element of order 2 to obtain a Sylow p -subgroup.

We start with the orthogonal group $O^+(2m, q)$. The matrix of the corresponding bilinear form can be represented as the matrix (2) in Section 3 with $X_1 = J_{m-1}$, $X_2 = J_2$, and $\epsilon = "+"$. Now we determine which elements M in $\mathfrak{G}_{J_{m-1}, J_2}^+$ satisfy $Q(Mv) = Q(v)$. By Remark 5.4, the quadratic form is $Q(v) = XJ_{m-1}Y^T + \alpha_{m+1}\alpha_m$ with $v = [X \ Z \ Y]^T$ and $Z := [\alpha_m \ \alpha_{m+1}]$. If N is any element of G , then $Nv = [X' \ Z' \ Y']^T$, where

$$\begin{cases} X' = XA^T \\ Z' = Z + XB^T \\ Y' = XS^T A^{-1} J_{m-1} + ZD^T + YJ_{m-1} A^{-1} J_{m-1}. \end{cases}$$

Hence,

$$\begin{aligned} Q(Nv) &= X'J_{m-1}(Y')^T + \alpha'_{m+1}\alpha'_m \\ &= XJ_{m-1}Y^T + XSX^T + XB^T J_2 Z^T + \alpha'_{m+1}\alpha'_m. \end{aligned} \tag{12}$$

Applying Lemma 5.6, we get $XSX^T = \sum_{i=1}^{m-1} s_{ii}\alpha_i^2 + XCX^T$, with C from Lemma 5.5, and

$$\alpha'_{m+1}\alpha'_m = \alpha_{m+1}\alpha_m + XB^T J_2 Z^T + XCX^T + \sum_{i=1}^{m-1} b_{1i} b_{2i} \alpha_i^2.$$

If we substitute these expressions in (12), we obtain $Q(Nv) = \sum_{i=1}^{m-1} (s_{ii} + b_{1i} b_{2i}) \alpha_i^2 + Q(v)$ for all v , and hence $s_{ii} = b_{1i} b_{2i}$ for $i = 1, \dots, m - 1$. Hence N belongs to G_1 . It is not hard to check that all the matrices in G_1 preserve the quadratic form. Hence G_1 is the stabilizer subgroup of Q in $\mathfrak{G}_{J_{m-1}, J_2}^+$. Since for elements of G_1 the entries s_{ii} are defined by the matrix B , the proof of Lemma 5.1 shows that $|G_1| = q^{m(m-1)}$.

Now, we claim that L (see (5) in Section 3) normalizes the group G_1 . So let $N \in G_1$. The product LNL only changes the matrices B and D in N to $B' = J_2 B$ and $D' = DJ_2$, respectively. A straightforward calculation shows that $S + S^T = (B')^T J_2 B'$ and $D' = -J_{m-1} (A^{-1})^T (B')^T J_2$. Hence $LNL \in G_1$, and this proves our claim. The

order of the group generated by G_1 and L is therefore $2q^{m(m-1)}$, which by formula (9) is the same as the order of a Sylow p -subgroup. This completes the proof of Lemma 3.5.2.

For $O^-(2m + 2, q)$, we repeat similar steps as in the previous case. The quadratic form is $Q(v) = XJ_m Y^T + \alpha_{m+1}^2 + \alpha_{m+1}\alpha_{m+2} + a\alpha_{m+2}^2$ with $v = [X \ Z \ Y]^T$ and $Z := [\alpha_{m+1} \ \alpha_{m+2}]$. Now the matrix of the bilinear form is the matrix (2) in Section 3 with $X_1 = J_m$, $X_2 = J_2$, and $\epsilon = "+"$. We get for an element N in $\mathfrak{G}_{J_m, J_2}^+$ that

$$Q(Nv) = \sum_{i=1}^m (s_{ii} + b_{1i}^2 + b_{1i}b_{2i} + ab_{2i}^2)\alpha_i^2 + Q(v)$$

and therefore N preserves the quadratic form if and only if N is an element of G_1 . Thus G_1 is subgroup of order $q^{m(m+1)}$.

To prove that L_1 (see (5)) normalizes G_1 , we just repeat the same argument as above and we use the fact that $(J_2)^T J_2 J_2 = J_2$. Hence the group generated by G_1 and L_1 has order $2q^{m(m+1)}$ which is actually the order of a Sylow p -subgroup for $O^-(2m + 2, q)$ with q even (see formula (10)). This completes the proof of Lemma 3.5.3.

Finally, in $O(2m + 1, q)$ the matrix of the bilinear form is the matrix (2), Section 3 with $X_1 = J_m$, $X_2 = 0$, and $\epsilon = "+"$. Remark 5.4 shows that $Q(v) = XJ_m Y^T + \alpha_{m+1}^2$ with $v = [X \ \alpha_{m+1} \ Y]^T$. Hence, we obtain for an element N in $\mathfrak{G}_{J_m, 0}^+$ that

$$Q(Nv) = \sum_{i=1}^m (s_{ii} + b_{1i}^2)\alpha_i^2 + Q(v).$$

Hence N preserves the quadratic form if and only if N belongs to G_1 . From this, we can conclude that G_1 is a subgroup of order q^{m^2} , which implies that G_1 is a Sylow p -subgroup for $O(2m + 1, q)$ with q even (see formula (11)). This completes the proof of Lemma 3.5.1.

6. PROOFS FOR SECTION 4

In this section, we present the proofs of Lemmas 4.3, 4.4, and 4.8 and Proposition 4.6.

Proposition 6.1. *Let $\Omega_{s,j}$, $\Gamma_{s,\lambda}$, and $\Lambda_{s,\lambda}$ be the polynomials defined above.*

- (1) $\mathcal{P}^\bullet(\Omega_{0,1}) = \Omega_{0,1}^r - \Omega_{1,1} + \Omega_{0,1}$.
- (2) $\mathcal{P}^\bullet(\Omega_{1,1}) = \Omega_{1,1}^r - \Omega_{2,1} - 2\Omega_{0,1}^r + \Omega_{1,1}$.
- (3) $\mathcal{P}^\bullet(\Omega_{s,j}) = \Omega_{s,j}^r - \Omega_{s+1,j} - \Omega_{s-1,j}^r + \Omega_{s,j}$ for $s > 0$ if $j = -1$ and $s > 1$ if $j = 1$.
- (4) $\mathcal{P}^\bullet(\Gamma_{0,\lambda}) = \Gamma_{0,\lambda}^r - \Gamma_{1,\lambda} + \Gamma_{0,\lambda}$.
- (5) $\mathcal{P}^\bullet(\Gamma_{1,\lambda}) = \Gamma_{1,\lambda}^r - \Gamma_{2,\lambda} - 2\Gamma_{0,\lambda}^r + \Gamma_{1,\lambda}$.
- (6) $\mathcal{P}^\bullet(\Gamma_{s,\lambda}) = \Gamma_{s,\lambda}^r - \Gamma_{s+1,\lambda} - \Gamma_{s-1,\lambda}^r + \Gamma_{s,\lambda}$ for $s > 1$.
- (7) $\mathcal{P}^\bullet(\Lambda_{1,\lambda}) = \Lambda_{1,\lambda}^{q^2} - \Lambda_{2,\lambda} - \Lambda_{1,\lambda}^q + \Lambda_{1,\lambda}$.
- (8) $\mathcal{P}^\bullet(\Lambda_{s,\lambda}) = \Lambda_{s,\lambda}^{q^2} - \Lambda_{s+1,\lambda} - \Lambda_{s-1,\lambda}^{q^2} + \Lambda_{s,\lambda}$ for $s \geq 2$.

Proof. Applying \mathcal{P}^\bullet to $\Omega_{0,1}$, we obtain

$$\begin{aligned} \mathcal{P}^\bullet(\Omega_{0,1}) &= \sum_{i=1}^m \mathcal{P}^\bullet(x_{n-i+1})\mathcal{P}^\bullet(x_i) = \sum_{i=1}^m (x_{n-i+1} - x_{n-i+1}^r)(x_i - x_i^r) \\ &= \Omega_{0,1} - \Omega_{1,1} + \Omega_{0,1}^r \end{aligned}$$

and 1 is proved. Now

$$\begin{aligned} \mathcal{P}^\bullet(\Omega_{s,j}) &= \sum_{i=1}^m (\mathcal{P}^\bullet(x_{n-i+1})^{r^s} \mathcal{P}^\bullet(x_i) + j \mathcal{P}^\bullet(x_{n-i+1}) \mathcal{P}^\bullet(x_i)^{r^s}) \\ &= \sum_{i=1}^m ((x_{n-i+1}^{r^s} - x_{n-i+1}^{r^{s+1}})(x_i - x_i^r) + j(x_{n-i+1} - x_{n-i+1}^r)(x_i^{r^s} - x_i^{r^{s+1}})), \end{aligned}$$

and from this, 2 and 3 follow. Before proving 4, 5, and 6 note that by taking $f_{s,\lambda} := x_{m+1}^{r^s+1} + \lambda x_{m+2}^{r^s+1}$, we can write $\Gamma_{0,\lambda} = \Omega_{0,1} + f_{0,\lambda}$, $\Gamma_{s,\lambda} = \Omega_{s,1} + 2f_{s,\lambda}$. Thus,

$$\mathcal{P}^\bullet(\Gamma_{0,\lambda}) = \mathcal{P}^\bullet(\Omega_{0,1}) + \mathcal{P}^\bullet(f_{0,\lambda}), \quad \mathcal{P}^\bullet(\Gamma_{s,\lambda}) = \mathcal{P}^\bullet(\Omega_{s,1}) + 2\mathcal{P}^\bullet(f_{s,\lambda}),$$

and therefore, we just need to determine how \mathcal{P}^\bullet acts on the polynomials $f_{s,\lambda}$. Following the same reasoning as in the beginning of the proof, we can show that

$$\begin{aligned} \mathcal{P}^\bullet(f_{0,\lambda}) &= f_{0,\lambda}^r - 2f_{1,\lambda} + f_{0,\lambda}; \\ \mathcal{P}^\bullet(f_{s,\lambda}) &= f_{s,\lambda}^r - f_{s+1,\lambda} - f_{s-1,\lambda}^r + f_{s,\lambda} \quad \text{for } s > 0. \end{aligned}$$

Combining this with the results in 1, 2, and 3, we get 4, 5, and 6. Now we prove 7. Since in this case $\mathbb{F} = \mathbb{F}_{q^2}$, we have $r = q^2$ and so

$$\begin{aligned} \mathcal{P}^\bullet(\Lambda_{1,\lambda}) &= \sum_{i=1}^m (\mathcal{P}^\bullet(x_{n-i+1})^q \mathcal{P}^\bullet(x_i) + \mathcal{P}^\bullet(x_{n-i+1}) \mathcal{P}^\bullet(x_i)^q) + \lambda \mathcal{P}^\bullet(x_{m+1}^{q+1}) \\ &= \sum_{i=1}^m ((x_{n-i+1}^q - x_{n-i+1}^{q^3})(x_i - x_i^{q^2}) + (x_{n-i+1} - x_{n-i+1}^{q^2})(x_i^q - x_i^{q^3})) \\ &\quad + \lambda(x_{m+1}^q - x_{m+1}^{q^3})(x_{m+1} - x_{m+1}^{q^2}) \\ &= \Lambda_{1,\lambda}^{q^2} - \Lambda_{2,\lambda} - \Lambda_{1,\lambda}^q + \Lambda_{1,\lambda}. \end{aligned}$$

A similar calculation proves 8. □

Proof of Lemma 4.3. We will prove the result only for the polynomials $\Omega_{s,j}$. For a homogeneous polynomial f such that the i th steenrod operation $\mathcal{P}^i(f) \neq 0$, we obtain $\deg(\mathcal{P}^i(f)) = \deg(f) + i(r - 1)$. Thus, we just need to consider the degrees of

the terms in $\mathcal{P}^\bullet(\Omega_{0,1})$ and $\mathcal{P}^\bullet(\Omega_{s,j})$. We have

$$\mathcal{P}^\bullet(\Omega_{0,1}) = \mathcal{P}^0(\Omega_{0,1}) - \mathcal{P}^1(\Omega_{0,1}) + \mathcal{P}^2(\Omega_{0,1}) - \mathcal{P}^3(\Omega_{0,1}) + \dots = \Omega_{0,1}^r - \Omega_{1,1} + \Omega_{0,1}$$

by Proposition 6.1. The degrees of $\Omega_{0,1}$, $\Omega_{1,1}$, and $\Omega_{0,1}^r$ are 2, $r + 1$ and $2r$, respectively. Comparing this with the degrees of $\mathcal{P}^i(\Omega_{0,1})$, we get $\mathcal{P}^0(\Omega_{0,1}) = \Omega_{0,1}$, $\mathcal{P}^1(\Omega_{0,1}) = \Omega_{1,1}$, and $\mathcal{P}^2(\Omega_{0,1}) = \Omega_{0,1}^r$. Again by Proposition 6.1, we get for $s > 0$,

$$\begin{aligned} \mathcal{P}^\bullet(\Omega_{1,1}) &= \mathcal{P}^0(\Omega_{1,1}) - \mathcal{P}^1(\Omega_{1,1}) + \mathcal{P}^2(\Omega_{1,1}) - \dots \\ &= \Omega_{1,1}^r - \Omega_{2,1} - 2\Omega_{0,1}^r + \Omega_{1,1}, \\ \mathcal{P}^\bullet(\Omega_{s,j}) &= \Omega_{s,j}^r - \Omega_{s+1,j} - \Omega_{s-1,j}^r + \Omega_{s,j}. \end{aligned}$$

Hence we have as follows:

- $\mathcal{P}^0(\Omega_{s,j}) = \Omega_{s,j}$;
- $\deg \Omega_{s-1,j}^r = r(r^{s-1} + 1)$, $\deg \mathcal{P}^1(\Omega_{s,j}) = r^s + 1 + r - 1$, and therefore $\mathcal{P}^1(\Omega_{1,1}) = 2\Omega_{0,1}^r$ and $\mathcal{P}^1(\Omega_{s,j}) = \Omega_{s-1,j}^r$;
- $\deg \Omega_{s+1,j} = r^{s+1} + 1$, $\deg \mathcal{P}^{r^s}(\Omega_{s,j}) = r^s + 1 + r^s(r - 1)$, and therefore $\mathcal{P}^{r^s}(\Omega_{s,j}) = \Omega_{s+1,j}$;
- $\deg \Omega_{s,j}^r = r(r^s + 1)$, $\deg \mathcal{P}^{r^s+1}(\Omega_{s,j}) = r^s + 1 + (r^s + 1)(r - 1)$, and therefore $\mathcal{P}^{r^s+1}(\Omega_{s,j}) = \Omega_{s,j}^r$.

Similar arguments prove the remaining results in the lemma.

The next proposition shows how the IF-algebra homomorphism ψ_l acts on the polynomials $\Omega_{s,j}$, $\Gamma_{s,\lambda}$, and $\Lambda_{s,\lambda}$.

Proposition 6.2. *For every $l \geq 1$, the following equations are true:*

- (1) $\psi_l(\Omega_{0,1}) = \psi_{l-1}(\Omega_{0,1})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Omega_{1,1}) + \psi_{l-1}(x_l)^{2(r-1)}\psi_{l-1}(\Omega_{0,1})$;
- (2) $\psi_l(\Omega_{1,1}) = \psi_{l-1}(\Omega_{1,1})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Omega_{2,1}) - 2\psi_{l-1}(x_l)^{r(r-1)}\psi_{l-1}(\Omega_{0,1})^r + \psi_{l-1}(x_l)^{(r+1)(r-1)}\psi_{l-1}(\Omega_{1,1})$;
- (3) $\psi_l(\Omega_{s,j}) = \psi_{l-1}(\Omega_{s,j})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Omega_{s+1,j}) - \psi_{l-1}(x_l)^{r^s(r-1)}\psi_{l-1}(\Omega_{s-1,j})^r + \psi_{l-1}(x_l)^{(r^s+1)(r-1)}\psi_{l-1}(\Omega_{s,j})$ for $s > 0$ if $j = -1$ and $s > 1$ if $j = 1$;
- (4) $\psi_l(\Gamma_{0,\lambda}) = \psi_{l-1}(\Gamma_{0,\lambda})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Gamma_{1,\lambda}) + \psi_{l-1}(x_l)^{2(r-1)}\psi_{l-1}(\Gamma_{0,\lambda})$;
- (5) $\psi_l(\Gamma_{1,\lambda}) = \psi_{l-1}(\Gamma_{1,\lambda})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Gamma_{2,\lambda}) - 2\psi_{l-1}(x_l)^{r(r-1)}\psi_{l-1}(\Gamma_{0,\lambda})^r + \psi_{l-1}(x_l)^{(r+1)(r-1)}\psi_{l-1}(\Gamma_{1,\lambda})$;
- (6) $\psi_l(\Gamma_{s,\lambda}) = \psi_{l-1}(\Gamma_{s,\lambda})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Gamma_{s+1,\lambda}) - \psi_{l-1}(x_l)^{r^s(r-1)}\psi_{l-1}(\Gamma_{s-1,\lambda})^r + \psi_{l-1}(x_l)^{(r^s+1)(r-1)}\psi_{l-1}(\Gamma_{s,\lambda})$ for $s \geq 2$;
- (7) $\psi_l(\Lambda_{1,\lambda}) = \psi_{l-1}(\Lambda_{1,\lambda})^{q^2} - \psi_{l-1}(x_l)^{q^2-1}\psi_{l-1}(\Lambda_{2,\lambda}) - \psi_{l-1}(x_l)^{q^3-q}\psi_{l-1}(\Lambda_{1,\lambda})^q + \psi_{l-1}(x_l)^{q^3+q^2-q-1}\psi_{l-1}(\Lambda_{1,\lambda})$;
- (8) $\psi_l(\Lambda_{s,\lambda}) = \psi_{l-1}(\Lambda_{s,\lambda})^{q^2} - \psi_{l-1}(x_l)^{q^2-1}\psi_{l-1}(\Lambda_{s+1,\lambda}) - \psi_{l-1}(x_l)^{q^{2s-1}(q^2-1)}\psi_{l-1}(\Lambda_{s-1,\lambda})^{q^2} + \psi_{l-1}(x_l)^{(q^{2s-1}+1)(q^2-1)}\psi_{l-1}(\Lambda_{s,\lambda})$ for $s \geq 2$.

Proof. We only prove 1, 2, and 3. All the other statements can be proved by similar calculations. But we should remember that, when we consider the polynomials $\Lambda_{s,\lambda}$, r is equal to q^2 . According to Proposition 4.2-3, the IF-algebra homomorphism

ψ_l satisfies $\psi_l(x_i) = \psi_{l-1}(x_i)^r - \psi_{l-1}(x_i)^{r-1}\psi_{l-1}(x_i)$ for all i . For simplicity, let $T = \psi_{l-1}(x_i)$. Then $\psi_l(x_i) = \psi_{l-1}(x_i)^r - T^{r-1}\psi_{l-1}(x_i)$ and

$$\begin{aligned} \psi_l(\Omega_{0,1}) &= \sum_{i=1}^m \psi_l(x_{n-i+1})\psi_l(x_i) \\ &= \sum_{i=1}^m (\psi_{l-1}(x_{n-i+1})^r - T^{r-1}\psi_{l-1}(x_{n-i+1}))(\psi_{l-1}(x_i)^r - T^{r-1}\psi_{l-1}(x_i)) \\ &= \psi_{l-1}(\Omega_{0,1})^r - T^{r-1}\psi_{l-1}(\Omega_{1,1}) + T^{2(r-1)}\psi_{l-1}(\Omega_{0,1}) \end{aligned}$$

which proves 1. Since

$$\begin{aligned} \psi_l(\Omega_{s,j}) &= \sum_{i=1}^m (\psi_l(x_{n-i+1})^{r^s}\psi_l(x_i) + j\psi_l(x_{n-i+1})\psi_l(x_i)^{r^s}) \\ &= \sum_{i=1}^m (\psi_{l-1}(x_{n-i+1})^{r^{s+1}} - T^{r^s(r-1)}\psi_{l-1}(x_{n-i+1})^{r^s})(\psi_{l-1}(x_i)^r - T^{r-1}\psi_{l-1}(x_i)) \\ &\quad + j \sum_{i=1}^m (\psi_{l-1}(x_{n-i+1})^r - T^{r-1}\psi_{l-1}(x_{n-i+1}))(\psi_{l-1}(x_i)^{r^{s+1}} - T^{r^s(r-1)}\psi_{l-1}(x_i)^{r^s}), \end{aligned}$$

2 and 3 follow easily. □

Proof of Lemma 4.4. We only prove the statement in 1. We do this by induction on l . First we consider $\Omega_{s,j}$ with $s > 0$ if $j = -1$ and $s > 1$ if $j = 1$. For $l = 1$, it follows from Proposition 6.2-3 that

$$\psi_1(\Omega_{s,j}) = \Omega_{s,j}^r - x_1^{r-1}\Omega_{s+1,j} - x_1^{r^s(r-1)}\Omega_{s-1,j}^r + x_1^{(r^s+1)(r-1)}\Omega_{s,j}$$

belongs to $\mathbb{F}[x_1, \Omega_{s-1,j}, \Omega_{s,j}, \Omega_{s+1,j}]$. Now, assume that the result is true for $l - 1$. Again from Proposition 6.2-3 we get

$$\begin{aligned} \psi_l(\Omega_{s,j}) &= \psi_{l-1}(\Omega_{s,j})^r - \psi_{l-1}(x_l)^{r-1}\psi_{l-1}(\Omega_{s+1,j}) - \psi_{l-1}(x_l)^{r^s(r-1)}\psi_{l-1}(\Omega_{s-1,j})^r \\ &\quad + \psi_{l-1}(x_l)^{(r^s+1)(r-1)}\psi_{l-1}(\Omega_{s,j}). \end{aligned}$$

By induction, we have

$$\begin{aligned} \psi_{l-1}(\Omega_{s,j}) &\in \mathbb{F}[x_1, \psi_1(x_2), \dots, \psi_{l-2}(x_{l-1}), \Omega_{s-1,j}, \Omega_{s,j}, \Omega_{s+1,j}, \dots, \Omega_{s+l-1,j}], \\ \psi_{l-1}(\Omega_{s+1,j}) &\in \mathbb{F}[x_1, \psi_1(x_2), \dots, \psi_{l-2}(x_{l-1}), \Omega_{s,j}, \Omega_{s+1,j}, \dots, \Omega_{s+l,j}], \\ \psi_{l-1}(\Omega_{s-1,j}) &\in \mathbb{F}[x_1, \psi_1(x_2), \dots, \psi_{l-2}(x_{l-1}), \Omega_{s-2,j}, \Omega_{s-1,j}, \Omega_{s,j}, \dots, \Omega_{s+l-2,j}], \end{aligned}$$

if $s - 1 > 0$. The arguments for $s = 0$ and $s = 1$ are similar. Hence,

$$\psi_l(\Omega_{s,j}) \in \mathbb{F}[x_1, \psi_1(x_2), \dots, \psi_{l-1}(x_l), \Omega_{s-1,j}, \Omega_{s,j}, \Omega_{s+1,j}, \dots, \Omega_{s+l,j}].$$

Now, if in the previous argument, instead Proposition 6.2-3, we use Proposition 6.2-1 and Proposition 6.2-2, then we get the result for $\Omega_{0,1}$ and $\Omega_{1,1}$, respectively. This proves 1. Similarly, the other statements can be obtained also by induction on l .

Now we consider two families of subgroups L_k^+ and L_k^- of H^+ and H^- , respectively. For any matrix A and $k \geq 1$ define as follows:

- $A^{(1)} = A$;
- $A^{(k)}$ is the matrix obtained from A by fixing all the entries in the first $k - 1$ rows equal to zero.

Let $k \in \{1, 2, \dots, t\}$. We represent by L_k^+ the subgroup of H^+ formed by the matrices

$$\left(\begin{array}{c|c|c} I_t & 0 & 0 \\ \hline 0 & I_d & 0 \\ \hline C^{+(k)} & 0 & I_t \end{array} \right).$$

Replacing $C^{+(k)}$ by $C^{-(k)}$ in the elements of L_k^+ , we obtain a subgroup of H^- which we represent by L_k^- . We want to determine the invariant rings $R[t + d + k]^{L_k^+}$ and $R[t + d + k]^{L_k^-}$ for all k . First, we need to see what happens to the entries of the matrices C^+ and C^- when we take different fields.

Lemma 6.3. *Consider the matrices C^+ and C^- .*

(1) *For $\mathbb{F} = \mathbb{F}_{q^2}$, we obtain as follows:*

- $c_{i,t-i+1}^+ \in \mathbb{F}_q$ for all i and $c_{i,j}^+ \in \mathbb{F}_{q^2}$ if $j \neq t - i + 1$;
- $c_{i,t-i+1}^- + \bar{c}_{i,t-i+1}^- = 0$ for all i and $c_{i,j}^- \in \mathbb{F}_{q^2}$ if $j \neq t - i + 1$.

(2) *For $\mathbb{F} = \mathbb{F}_q$, we get as follows:*

- $c_{i,j}^+ \in \mathbb{F}_q$ for all i and j ;
- If q is odd, then $c_{i,t-i+1}^- = 0$ for all i , and if q is even, then $c_{i,j}^- \in \mathbb{F}_q$ for all i and j .

Proof. All the statements follow from the fact that $(i, j) = (t - j + 1, t - i + 1) \iff j = t - i + 1$. □

Lemma 6.4. *Let G_1 and G_2 be subgroups of $U(n, q^2)$ acting on $\mathbb{F}_{q^2}[V]$. Assume that for a fixed $2 \leq i \leq n$ and $l \leq i - 1$, the orbit of x_i under the action of:*

- G_1 is $\{x_i + \sum_{j=1}^l a_j x_j : a_1, \dots, a_{l-1} \in \mathbb{F}_{q^2} \wedge a_l \in \mathbb{F}_q\}$;
- G_2 is $\{x_i + \sum_{j=1}^l a_j x_j : a_1, \dots, a_{l-1} \in \mathbb{F}_{q^2} \wedge a_l + \bar{a}_l = 0\}$.

Then the G_ℓ -orbit product of x_i for $\ell = 1, 2$ is given by the following equations:

- (1) $N_{G_1}(x_i) = F_{l-1,q^2}(x_i)^q - F_{l-1,q^2}(x_i)^{q-1} F_{l-1,q^2}(x_i)$,
- (2) $N_{G_2}(x_i) = F_{l-1,q^2}(x_i)^q + F_{l-1,q^2}(x_i)^{q-1} F_{l-1,q^2}(x_i)$.

Moreover, both are homogeneous polynomials of degree q^{2l-1} .

Proof. We have

$$F_{l-1,q^2}(X) = \prod_{a_1, \dots, a_{l-1} \in \mathbb{F}_{q^2}} (X + a_1x_1 + \dots + a_{l-1}x_{l-1})$$

and it is homogeneous of degree q^{2l-2} . Since $F_{l-1,q^2}(X)$ is \mathbb{F}_{q^2} -linear, replacing X by $x_i + a_lx_l$ gives

$$F_{l-1,q^2}(x_i) + a_lF_{l-1,q^2}(x_l) = \prod_{a_1, \dots, a_{l-1} \in \mathbb{F}_{q^2}} (x_i + a_lx_l + a_1x_1 + \dots + a_{l-1}x_{l-1}).$$

Therefore, we get

$$N(x_i) = \prod_{a_l \in \mathbb{F}_q} (F_{l-1,q^2}(x_i) + a_lF_{l-1,q^2}(x_l)) = F_{l-1,q^2}(x_i)^q - F_{l-1,q^2}(x_l)^{q-1}F_{l-1,q^2}(x_i),$$

for the orbit product of x_i under the action of G_1 . Now, for the action of G_2 the orbit product of x_i is given by

$$N(x_i) = \prod_{a_l + \bar{a}_l = 0} (F_{l-1,q^2}(x_i) + a_lF_{l-1,q^2}(x_l)).$$

Note that $a_l + \bar{a}_l = 0$ is equivalent to say that a_l in the kernel of the standard trace map $\mathbb{F}_{q^2} \rightarrow \mathbb{F}_q$, which is well known to be a one dimensional vector space over \mathbb{F}_q (see, e.g., Lemma 10.1 in [15]). So if $c \notin \mathbb{F}_q$, then $c - \bar{c}$ is a basis for $\ker \text{Tr}$ and

$$\begin{aligned} N(x_i) &= \prod_{a \in \mathbb{F}_q} (F_{l-1,q^2}(x_i) + a(c - \bar{c})F_{l-1,q^2}(x_l)) \\ &= F_{l-1,q^2}(x_i)^q - ((c - \bar{c})F_{l-1,q^2}(x_l))^{q-1}F_{l-1,q^2}(x_i). \end{aligned}$$

Since $(c - \bar{c})^{q-1} = -1$, the statement in 2 is proved. □

Theorem 6.5. *Let $f_1, \dots, f_n \in \mathbb{F}[V]^G$ be homogeneous invariants with $n = \dim V$. Then the following statements are equivalent:*

- (i) $\mathbb{F}[V]^G = \mathbb{F}[f_1, \dots, f_n]$;
- (ii) *The f_i are algebraically independent over \mathbb{F} and $\prod_{i=1}^n \deg(f_i)$ is equal to $|G|$.*

Proof. See Proposition 16 in [12] or Theorem 3.7.5 in [7]. □

Proposition 6.6. *Let $k \in \{1, \dots, t\}$. Then*

$$R[t + d + k]^{L_k^+} = \mathbb{F}[x_1, \dots, x_{t+d}, x_{t+d+1}, \dots, x_{t+d+k-1}, N(x_{t+d+k})],$$

where $N(x_{t+d+k})$ is the orbit product of x_{t+d+k} and in this variable its degree is as follows:

- $q^{2(t-k)+1}$ if $\mathbb{F} = \mathbb{F}_{q^2}$;
- q^{t-k+1} if $\mathbb{F} = \mathbb{F}_q$.

Proof. For each k , the group L_k^+ acts on $R[t + d + k]$ in the following way: it fixes x_i for all $i \leq t + d + k - 1$ and

$$x_{t+d+k} \mapsto x_{t+d+k} + \sum_{j=1}^{t-k+1} c_{k,j}^+ x_j.$$

Note that this defines an action of a subgroup L of $U(t + d + k, \mathbb{F})$. Thus $R[t + d + k]^{L_k^+} = R[t + d + k]^L$. We will show that the product of the degrees of

$$x_1, \dots, x_{t+d}, x_{t+d+1}, \dots, x_{t+d+k-1}, N(x_{t+d+k})$$

is equal to the order of L , which is the same as showing that the degree of $N(x_{t+d+k})$ equals the order of L . Therefore, applying Theorem 6.5 we obtain $R[t + d + k]^L = \mathbb{F}[x_1, \dots, x_{t+d}, x_{t+d+1}, \dots, x_{t+d+k-1}, N(x_{t+d+k})]$. First, we consider $\mathbb{F} = \mathbb{F}_{q^2}$. Applying Lemma 6.3-1, we can conclude that the order of L is $q^{2(t-k)+1}$. By Lemma 6.4-1,

$$N(x_{t+d+k}) = F_{t-k,q^2}(x_{t+d+k})^q - F_{t-k,q^2}(x_{t-k+1})^{q-1} F_{t-k,q^2}(x_{t+d+k})$$

and has degree $q^{2(t-k)+1}$. Now, when $\mathbb{F} = \mathbb{F}_q$, the group L has order q^{t-k+1} by Lemma 6.3-2. In this case,

$$\begin{aligned} N(x_{t+d+k}) &= \prod_{c_{k,1}^+ \dots c_{k,t-k+1}^+ \in \mathbb{F}_q} x_{t+d+k} + \sum_{j=1}^{t-k+1} c_{k,j}^+ x_j \\ &= F_{t-k,q}(x_{t+d+k})^q - F_{t-k,q}(x_{t-k+1})^{q-1} F_{t-k,q}(x_{t+d+k}), \end{aligned}$$

and its order is q^{t-k+1} . □

We have a similar proposition for the groups L_k^- .

Proposition 6.7. *Let $k \in \{1, \dots, t\}$. Then*

$$R[t + d + k]^{L_k^-} = \mathbb{F}[x_1, \dots, x_{t+d}, x_{t+d+1}, \dots, x_{t+d+k-1}, N(x_{t+d+k})],$$

where $N(x_{t+d+k})$ is the orbit product of x_{t+d+k} and in this variable it has degree:

- $q^{2(t-k)+1}$ if $\mathbb{F} = \mathbb{F}_{q^2}$;
- q^{t-k} if $\mathbb{F} = \mathbb{F}_q$ and q is odd;
- q^{t-k+1} if $\mathbb{F} = \mathbb{F}_q$ and q is even.

Proof. Just as in the proof of Proposition 6.6, the action of L_k^- also defines an action of a subgroup L of $U(t + d + k, \mathbb{F})$ on $R[t + d + k]$, and we just need to show that the degree of $N(x_{t+d+k})$ is equal to the order of L . When $\mathbb{F} = \mathbb{F}_{q^2}$, it follows from Lemma 6.3-1 that L has order $q^{2(t-k)+1}$ and from Lemma 6.4-2 that

$$N(x_{t+d+k}) = F_{t-k,q^2}(x_{t+d+k})^q + F_{t-k,q^2}(x_{t-k+1})^{q-1} F_{t-k,q^2}(x_{t+d+k})$$

has degree $q^{2(t-k)+1}$. For $\mathbb{F} = \mathbb{F}_q$, we just apply Lemma 6.3-2 to obtain that the order of L is q^{t-k} if q is odd and q^{t-k+1} if q is even. In each case, the calculation of $N(x_{t+d+k})$ and its degree is straightforward. \square

Proof of Proposition 4.6. It follows from Propositions 6.6 and 6.7 that the degree of $N(x_{t+d+k})$ is the minimal degree in x_{t+d+k} of a polynomial in $R[t+d+k]^{L_k^+}$ or $R[t+d+k]^{L_k^-}$. Since for each k , we have

$$R[t+d+k]^{H^+} \subset R[t+d+k]^{L_k^+} \quad \text{and} \quad R[t+d+k]^{H^-} \subset R[t+d+k]^{L_k^-},$$

applying Propositions 6.6 and 6.7 completes the proof.

Proof of Lemma 4.8. The groups U_k act on $\mathbb{F}[x_1, x_2, \dots, x_{n-1}]$ in the same way as $U(n-1, \mathbb{F})$. Hence

$$\mathbb{F}[x_1, x_2, \dots, x_{n-1}]^{U_k} = \mathbb{F}[x_1, N(x_2), \dots, N(x_{n-1})].$$

Now the order of U_k is $|U(n-1, \mathbb{F})|_s$ for some $s \in \mathbb{N}$. We will show that the degree of $N(x_n)$ is equal to s and then we apply Theorem 6.5. Let r be the number of elements in \mathbb{F} . First, we consider the group U_1 . Therefore, $s = r^{n-2}$. It is not hard to see that $N_{U_k}(x_n) = F_{n-2,r}(x_n)$ and consequently its degree is r^{n-2} . For the group U_2 , $s = q^{2(n-2)}q$ which is the degree of $N(x_n)$ according to Lemma 6.4-2.

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